

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
13 December 2001 (13.12.2001)

PCT

(10) International Publication Number
WO 01/94541 A2

(51) International Patent Classification⁷: C12N

(21) International Application Number: PCT/US01/16408

(22) International Filing Date: 21 May 2001 (21.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/209,245 5 June 2000 (05.06.2000) US
60/277,700 21 March 2001 (21.03.2001) US

(71) Applicant (*for all designated States except US*): **UNIVERSITY OF SOUTH FLORIDA** [US/US]; 4202 East Fowler Avenue, FAO-126, Tampa, FL 33620 (US).

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **SESHI, Beerelli** [IN/US]; 9128 Highland Ridge Way, Tampa, FL 33647 (US).

(74) Agents: **RINALDO, Amy, E. et al.**; Kohn & Associates, 30500 Northwestern Highway, Suite 410, Farmington Hills, MI 48334 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

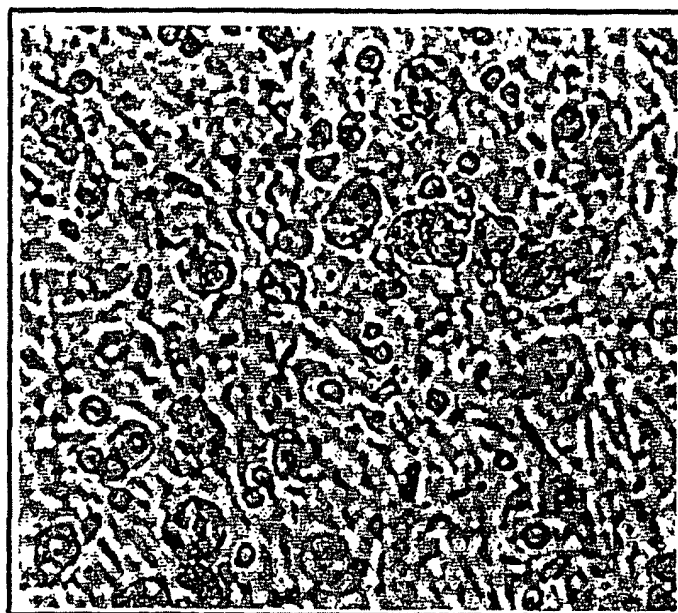
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— *without international search report and to be republished upon receipt of that report*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **HUMAN MESENCHYMAL PROGENITOR CELL**



(57) Abstract: There is provided an isolated pluri-differentiated human mesenchymal progenitor cells (MPCs), a method for isolating and purifying human mesenchymal progenitor cells from Dexter-type cultures, and characterization of and uses, particularly therapeutic uses for such cells. Specifically, there is provided isolated MPCs which can be used for diagnostic purposes, to enhance the engraftment of hematopoietic progenitor cells, enhance bone marrow transplantation, or aid in the treatment or prevention of graft versus host disease.

WO 01/94541 A2

HUMAN MESENCHYMAL PROGENITOR CELL

FIELD OF THE INVENTION

5

The present invention relates to pluri-differentiated mesenchymal progenitor cells that are isolated from hematopoietic cells and macrophages in Dexter-type cultures and therapeutic uses for such cells. More specifically, the isolated mesenchymal progenitor cells can be used for
10 diagnostic purposes, to enhance engraftment of human bone marrow or hematopoietic progenitor cells, or to treat graft versus host disease.

BACKGROUND OF THE INVENTION

15

Bone marrow, the site of blood cell production and home to various leukemia and lymphoma cells, comprises a complex cellular population including hematopoietic progenitor or stem cells and the stromal cells that support them. Hematopoietic stem cells have the capacity for self-regeneration and for generating all blood cell lineages while stromal stem
20 cells have the capacity for self-renewal and for producing the hematopoietic microenvironment.

Two bone-marrow culture systems introduced in the mid-1970's have evolved as favored media for the *in vitro* analysis of mesengensis and
25 hematopoiesis. The Friedenstein culture system was introduced in 1976 as a media for the analysis and study of mesengensis. (Friedenstein, et al, in *Exp Hematol* 4,267-74 (1976). In order to obtain mesenchymal stem cells (MSCs) for expansion in the culture medium, it is necessary to first isolate rare pluripotent mesenchymal stem cells from other cells in the bone marrow. In
30 the Friedenstein culture system, isolating the nonhematopoietic cells is achieved by utilizing their tendency to adhere to plastic. Once isolated, a monolayer of homogeneous, undifferentiated stromal cells is then grown in the culture medium, in the absence of hematopoietic cells. The stromal cells from this system have the potential to differentiate into discrete mesenchymal

tissues, namely bone, cartilage, adipose tissue and muscle depending on specific growth supplements. These MSCs have been the target of extensive investigation including exploration of their potential clinical utility in repair or replacement of genetically damaged mesenchymal tissues.

5

In 1977, Dexter, *et al.* developed another bone marrow culture system for the study of hematopoiesis. (Dexter et al. *J Cell Physiol* 91, 335-44 (1977). The Dexter culture does not require isolation of the mesenchymal cells before culturing, thus the monolayer of stromal cells is grown in the
10 presence of hematopoietic cells. Greenberger later modified the Dexter system by the addition of hydrocortisone to the culture medium, making it more reproducible (Greenberger, *Nature* 275, 752-4 (1978).

Based on the Dexter system's ability to support sustained
15 growth and preservation of hematopoietic progenitor cells, it has become the standard *in vitro* model for the study of hematopoiesis. Although the Dexter-type stromal cells and the MSCs in Friedenstein-type cultures express similar cytokine/growth factor profiles, the Dexter cultures have been found to be more efficient at maintaining preservation of hematopoietic progenitor cells.
20 Over the last 23 years, questions have remained as to whether the cells from the Dexter cultures retained the potential to differentiate, like the MSCs in the Friedenstein culture, or whether they have differentiated into another and discrete phenotype due to their interaction with the hematopoietic cells (Prockop, *Science* v276 n5309, p71(4)(April 1997)). It has been widely
25 believed that the stromal cells of the Dexter cultures are a heterogeneous mixture of adipocytes, osteoblasts, fibroblasts, muscle cells, and vascular endothelial cells.

The *in vitro* analysis and study of hematopoiesis in Friedenstein
30 and Dexter culture systems has been of great importance in both veterinary and human medicine. A number of diseases and immune disorders, as well as malignancies, appear to be related to disruptions within the hematopoietic system.

Allogeneic bone marrow transplantation is the preferred treatment for a variety of malignant and genetic diseases of the blood and blood-forming cells. The success rate of allogeneic bone marrow transplantation is, in large part, dependent on the ability to closely match the major histocompatibility complex of the donor cells with that of the recipient cells to minimize the antigenic differences between the donor and the recipient, thereby reducing the frequency of host-versus-graft responses and graft-versus-host disease (GvHD). Unfortunately, only about 20% of all potential candidates for bone marrow transplantation have a suitable family member match.

Bone marrow transplantation can be offered to those patients who lack an appropriate sibling donor by using bone marrow from antigenically matched, genetically unrelated donors (identified through a national registry), or by using bone marrow from a genetically related sibling or parent whose transplantation antigens differ by one to three of six human leukocyte antigens from those of the patient. Unfortunately, the likelihood of fatal GvHD and/or graft rejection increases from 20% for matched sibling donors to 50% in the cases of matched, unrelated donors and un-matched donors from the patient's family.

The potential benefits of bone marrow transplantation have stimulated research on the cause and prevention of GvHD. The removal of T cells from the bone marrow obtained from matched unrelated or unmatched sibling donors results in a decreased incidence of graft versus host reactions, but an increased incidence of rejection of the allogeneic bone marrow graft by the patient.

Current therapy for GvHD is imperfect, and the disease can be disfiguring and/or lethal. Thus, risk of GvHD restricts the use of bone marrow transplantation to patients with otherwise fatal diseases, such as severe immunodeficiency disorders, severe aplastic anemia, and malignancies.

The potential to enhance engraftment of bone marrow or stem cells from antigenically mis-matched donors to patients without graft rejection or GvHD would greatly extend the availability of bone marrow transplantation to those patients without an antigenically matched sibling donor.

5

Thus, it would be useful to develop methods of improving bone marrow transplantation by enhancing the engraftment of bone marrow or hematopoietic progenitor cells and/or decreasing the occurrence of graft rejection or GvHD in allogenic transplants.

10

Studies of hematopoiesis and mesengogenesis and the urgent need for improved methods of treatment in the field of bone marrow transplants have led to the isolation of MSCs from bone marrow stroma. These MSCs are the same pluri-potential cells that result from expansion in
15 Friedenstein type cultures. Several patents describe the isolation and therapeutic uses of these MSCs.

U.S. Patent No. 5,486,359 to Caplan, *et al.* is directed to isolated human MSCs, and a method for their isolation, purification, and
20 culturing. Caplan, *et al.* also describes methods for characterizing and using the purified mesenchymal stem cells for research, diagnostic, and therapeutic purposes. The invention in '359 to Caplan, *et al.* describes pluri-potential cells that remain pluri-potential, even after cultural expansion. Caplan, *et al.* also teaches that it is necessary to first isolate the pluri-potent MSCs from
25 other cells in the bone marrow and then, in some applications, uses culture medium to expand the population of the isolated MSCs. This patent fails to disclose the use of Dexter-type cultures, pluri-differentiated mesenchymal progenitor cells, or the isolation of cells from Dexter-type cultures.

30

U.S. Patent No. 5,733,542 to Haynesworth, *et al.*, is directed to methods and preparations for enhancing bone marrow engraftment in an individual by administering culturally expanded MSC preparations and a bone marrow graft. U.S. Patent 6,010,696 to Caplan, *et al.*, is directed to methods and preparations for enhancing hematopoietic progenitor cell engraftment in

an individual by administering culturally expanded MSC preparations and hematopoietic progenitor cells. The cells utilized in Haynesworth, *et al.* and '696 to Caplan, *et al.* are the pluri-potential cells described in U.S. Patent 5,486,359, above. Neither patent discloses the use of Dexter-type cultures, pluri-differentiated mesenchymal progenitor cells, or the isolation of cells from Dexter-type cultures.

Mesenchymal stem cells that are isolated from bone marrow are further described by Prockop, in *Science* v276 n5309, p71 (4)(1997) and Pittenger, *et al.* in *Science* v284 i5411, p143 (1). These articles also describe pluri-potential but undifferentiated MSCs and fail to teach or disclose a pluri-differentiated mesenchymal cell or the isolation of mesenchymal cells from Dexter-type cultures.

While they may provide some benefit, the isolated MSCs in the prior art have not solved the problems associated with engraftment of hematopoietic progenitor cells or bone marrow engraftment. Consequently, there exists a need in the art for methods of improving engraftment of hematopoietic progenitor cells and engraftment of bone marrow in mammals in need of such treatment. There also exists a need in the art for treating and preventing the occurrence of GvHD in mammals that receive allogeneic bone marrow transplants.

SUMMARY OF THE INVENTION

According to the present invention there is provided isolated pluri-differentiated mesenchymal progenitor cells, a method of isolation, diagnostic uses, and therapeutic uses relating to enhancing the engraftment of human bone marrow or hematopoietic progenitor cells and treating GvHD.

The present invention provides an isolated mesenchymal progenitor cell that is pluri-differentiated.

Accordingly, the present invention also provides a method for purifying pluri-differentiated mesenchymal progenitor cells including the steps of: providing a cell culture preparation by the Dexter method, treating the cells to obtain a cell suspension, removing macrophages, fractionating the cells,
5 and collecting the fraction of pluri-differentiated mesenchymal progenitor cells.

The present invention also provides a method for enhancing bone marrow engraftment in a mammal in need thereof which includes administering to the mammal (i) isolated pluri-differentiated mesenchymal
10 progenitor cells and (ii) a bone marrow graft, wherein the pluri-differentiated mesenchymal progenitor cells are administered in an amount effective to promote engraftment of the bone marrow in the mammal.

The present invention provides a method for enhancing
15 engraftment of hematopoietic progenitor cells in a mammal in need thereof which includes the step of administering to the mammal (i) isolated pluri-differentiated mesenchymal progenitor cells and (ii) hematopoietic progenitor cells, wherein the pluri-differentiated mesenchymal progenitor cells are administered in an amount effective to promote engraftment of the
20 hematopoietic progenitor cells in the mammal.

Another embodiment of the present invention provides a method for treating graft-versus-host disease (GvHD) in a mammal about to undergo bone marrow or organ transplantation or suffering from GvHD caused by bone
25 marrow or organ transplantation, by administering to the mammal an effective amount of isolated pluri-differentiated mesenchymal progenitor cells.

Yet another embodiment of the present invention provides a method for diagnosing a disease state by: a) establishing gene expression
30 patterns of normal state bone marrow derived isolated pluri-differentiated mesenchymal progenitor cells; b) establishing gene expression patterns of various leukemic state bone marrow derived isolated pluri-differentiated mesenchymal progenitor cells; c) identifying gene sets that are unique to a

given state; and d) comparing a profile of bone marrow derived isolated mesenchymal progenitor cell of unknown state to the gene sets.

Additionally, the present invention provides a method for
5 identifying therapeutic targets for treatment of hematopoietic function by: a) determining the median gene expression profile of bone marrow isolated pluri-differentiated mesenchymal progenitor cells associated with each disease state of interest; b) identifying gene groups that are up-regulated, down
10 regulated, and common to each disease state; and c) identifying gene sets that are unique to a given state.

The present invention also includes therapeutic compositions including isolated pluri-differentiated mesenchymal progenitor cells and a
15 pharmaceutically acceptable carrier, wherein the pluri-differentiated mesenchymal progenitor cells are present in an amount effective to enhance bone marrow engraftment in a mammal in need thereof; enhance hematopoietic progenitor cell engraftment in a mammal in need thereof; or
20 treat GvHD in a mammal about to undergo bone marrow or organ transplantation or suffering from GvHD caused by bone marrow or organ transplantation.

BRIEF DESCRIPTION OF THE FIGURES

Other advantages of the present invention can be readily
25 appreciated as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings. The following is a brief description of the drawings which are presented only for the purposes of further illustrating the invention and not for the purposes of limiting same. Referring to the drawing figures,
30 like reference numerals designate identical or corresponding elements throughout the several figures.

Figure 1 is a photograph showing the phase contrast photomicrograph view of a Dexter-type stromal cell monolayer reflecting on cellular complexity.

5 Figure 2 is a photograph showing the percoll gradient centrifugation technique of the present invention that purifies the MPCs (2) in large quantities to greater than 95% purity.

10 Figure 3 is a photograph showing the Wright-Giemsa staining of Dexter-type stromal cell cultures depicting three morphologically identifiable cell populations, macrophages (5), hematopoietic cells (3), and the mesenchymal progenitor cells (4) of the present invention.

15 Figures 4A-H are showing a series of photomicrographs showing the morphologic and phenotypic characteristics of the MPCs of the present invention, as uncovered by staining for representative mesenchymal cell lineage markers. The methods applied are shown in parentheses. (Figure 4A) Wright-Giemsa (Harleco stain using HMS Series Programmable Slide Stainer, Carl Zeiss, Inc.). (Figure 4B) Immunostain using anti-CD68 antibody
20 (Immunotech, Clone PG-M1; Vector, Vectastain Elite ABC Kit). (Figure 4C) Immunostain using anti-CD45 antibody (Dako, Clone PD7/26 & 2B11; ABC Kit). (Figure 4D) Periodic acid-Schiff (Sigma). (Figure 4E) Nile Red (Sigma), counterstained with DAPI (Vector). (Figure 4F) Alkaline phosphatase (Sigma Kit No. 85), counterstained with Nuclear Fast Red (Baker). (Figure 4G)
25 Immunostain using antibody to fibronectin (Immunotech, Clone 120.5; ABC Kit). (Figure 4H) Immunostain using anti-muscle actin antibody (Ventana, clone HUC 1-1; Ventana system using a section of formalin-fixed, paraffin-embedded cell block, instead of a cytospin). Appropriate positive controls and isotype-matched negative controls were employed to ascertain antibody
30 staining-specificity. All parts of figure as shown, except 4E and 4H, have clearly identifiable built-in cell controls. The morphological features of the cells are listed in row 1 of Table 1.

Figure 5 is a photograph which shows a transmission electron micrograph of an MPC of the present invention bearing microvilli, irregular nucleus, and pools of glycogen (6) in the ectoplasm (x 4,600).

5 Figure 6A-M are photographs which show Northern blot analysis of bone marrow stromal cell RNAs for expression of genes specific for multiple mesenchymal cell lineages. Figures 6A-M represent different gene probes used for hybridization. The following outlines the sources of the gene probes employed and the approximate sizes of the major transcripts observed
10 (shown in parentheses): Figure 6A) CD68 (Clone ID 3176179, Genome Systems, Inc (GSI); 2-3 kb); Figure 6B) Cathepsin B (Clone ID 2806166, GSI; 2-3 kb); Figure 6C) GAPDH probe (generated using PCR primers from R&D Systems, Inc; ~2 kb) hybridized to same blot as A and B; Figure 6 D) Adipsin (probe generated using PCR primers as described, Ref 20; 0.5-1 kb); Figure
15 6E) Osteoblast-specific cadherin-11 (Clone ID 434771, GSI; ~3 kb); Figure 6F) Chondroitin sulfate proteoglycan 2 (Clone ID 1623237, GSI; >10 kb); Figure 6G) Collagen type I alpha 1 (Clone ID 782235, GSI; >10 kb); Figure 6H) Decorin (Clone ID 3820761, GSI; 2-3 kb); Figure 6I) GAPDH probe hybridized to same blot as D-H; Figure 6J) Fibronectin (Clone ID 3553729,
20 GSI; >10 kb); Figure 6K) Caldesmon (Clone ID 1319608, GSI; ~4 kb); Figure 6L) Transgelin (Clone ID 4049957, GSI; ~1.5 kb); and Figure 6M) GAPDH probe hybridized to same blot as J-L.

Figure 7 is a photograph which shows RT-PCR analysis for
25 expression of representative hematopoietic growth factors (G-CSF and SCF) and extracellular matrix receptors (ICAM-1, VCAM-1, and ALCAM) by the MPCs of the present invention.

Figure 8 is a graph illustrating the comparison of the ability to
30 support *in vitro* hematopoiesis by the purified MPCs (heavy fraction represented by gray) of the present invention vs. unfractionated bone marrow stromal cells (represented by black).

Figures **9A** and Figure **9B** are graphs showing flow cytometric evidence of human hematopoietic cell engraftment in a SCID mouse cotransplanted with the MPCs of the present invention. Figure **9A** shows CD45+/CD34+ progenitors in the marrow. Figure **9B** shows CD45/CD34- mature hematopoietic cells circulating in the blood.

Figures **10A-H** are photographs which show engraftment of human hematopoietic cells in a SCID mouse cotransplanted with the purified marrow MPCs of the present invention. Figure **10A** shows a serial section of a mouse spleen stained with H & E. Figure **10B** shows a serial section of a mouse spleen stained with immunoperoxidase stain for CD45. Figure **10C** shows bone marrow stained for CD45. Figure **10D** shows a serial section of the mouse liver stained with H&E depicting involvement of periportal areas. Figure **10E** shows a serial section of the mouse stomach stained with H&E showing transmural infiltration. Figure **10F** shows a serial section of the mouse lung stained with H&E showing involvement of peribronchial area. Figure **10G** shows a serial section of the mouse pancreas stained with H&E. Figure **10H** shows a serial section of the mouse paravertebral ganglia stained with H&E.

20

Figure **11A** is a photomicrograph of a serial section of the spleen of a normal BALB/C mouse showing white pulp populated by darkly staining lymphocytes (H&E). Figure **11B** is a photomicrograph of the spleen of a SCID mouse showing white pulp largely consisting of lightly staining stromal framework (H&E). Figure **11C** is a photomicrograph of the spleen of a SCID mouse cotransplanted with human bone marrow MNC and the purified bone marrow MPCs of the present invention showing homing (engraftment) of human B cells to white pulp.

30

Figures **12A-C** are photographs which show Southern blotting data. Figure **12A** shows that hybridization of sample DNA using a DNA probe specific for human chromosome 17 alpha satellite DNA (p17H8) results in a 2.7 Kb band (**7**) (arrow; autoradiogram exposed for only 45 minutes). Figure

12B shows EcoR1 digest of thymic genomic DNA from SCID mice. Figure **12C** shows EcoR1 digest of lymph node genomic DNA from SCID mice.

Figure **13A** and Figure **13 B** show graphs comparing the survival rate and engraftment of human hematopoietic cells in SCID mice cotransplanted with the purified bone marrow MPCs of the present invention vs. unpurified bone marrow stromal cells. In the line graphs provided the line with diamonds represents MPCs and bone marrow mononuclear cells, squares represents bone marrow mononuclear cells only, triangles represents unfractionated bone marrow stromal cells, the Xs represent MPCs only, and the circles represent the control. In the bar graphs, the gray bars represent mice that survived and the black bars represent mice with engraftment.

Figures **14A-C** are photographs which demonstrate apoptosis by TUNEL assay in organs of SCID mice that died after transplantation. Figure **14A** shows a serial section of the liver of the mouse that survived. Figure **14B** shows a serial section of the liver of the mouse that died. Figure **14C** shows a serial section of the spleen of the mouse that survived. Figure **14D** shows a serial section of the spleen of the mouse that died.

DETAILED DESCRIPTION OF THE INVENTION

20

Generally, the present invention provides isolated and purified mesenchymal progenitor cells that are pluri-differentiated. Also provided by the present invention is a therapeutic composition including an effective amount of isolated and purified pluri-differentiated mesenchymal progenitor cells and a pharmaceutically acceptable carrier.

25

The present invention is premised upon the discovery that Dexter-type cultures contain stromal cells that co-express multiple message lineage markers. These pluri-differentiated cells are referred to by the inventor as mesenchymal progenitor cells (MPCs). Disclosed herein is a process for isolating and purifying MPCs from Dexter-type cultures. Purified MPCs provide a sufficiently defined system to permit detailed elucidation of the role of bone marrow in normal and leukemic hematopoiesis. The present invention is also directed to various methods for using MPCs to enhance bone

30

marrow transplantation, enhance hematopoietic progenitor cell engraftment, for diagnostic purposes, or for the treatment of GvHD.

The term "pluri-differentiated" as used herein refers to cells that are a single cell type co-expressing genes specific for multiple lineages. The term "pluri-potential" as used herein refers to cells that are undifferentiated and have the potential to be differentiated into discrete mesenchymal tissues.

Dexter type bone marrow cultures are a widely used and favorite medium for the study of hematopoiesis. Conventional wisdom has held that the stromal cells in Dexter-type cultures comprise a mixture of macrophages, hematopoietic cells, adipocytes, osteoblasts, fibroblasts, muscle cells, and endothelial cells. As a result of this perceived cellular complexity, research efforts over the last 23 years were not directed to characterizing or isolating the mesenchymal cells from the Dexter-type cultures.

Characterization of Cells

The exact cell types in Dexter cultures have been identified. No evidence was found for the existence of discrete cellular populations, such as adipocytes, osteoblasts, fibroblasts, smooth muscle cells and endothelial cells, notwithstanding the abundance of literature and wide spread belief (See, J.L. Liesveld *et al.*, *Blood* 73, 1794 (1989); A.K. Sullivan, D. Claxton, G. Shematek *et al.*, *Lab Invest* 60, 667 (1989); K. Dorshlind, *Ann Rev Immunol* 8, 126 (1990); S. Perkins, R.A. Fleischman, *Blood* 75, 620 (1990); I.A. Denkers, R.H. Beelen, G.J. Ossenkoppele *et al.*, *Ann Hematol* 64, 210 (1992); P.E. Penn, D.Z. Jiang, R.G. Fei *et al.*, *Blood* 81, 1205 (1993); E. de Wynter *et al.*, *J Cell Sci* 106, 761 (1993); A. Ferrajoli *et al.*, *Stem Cells (Dayt)* 12, 638 (1994); B.R. Clark, A. Keating, *Ann NY Acad Sci* 770, 70 (1995); B.S. Wilkins, D.B. Jones, *Br J Haematol* 90, 757 (1995); S. Gronthos, P.J. Simmons, *J Hematother* 5, 15 (1996); D. Soligo *et al.*, Abstract #3926, *Blood* 94, Supplement 1 (Part 2 of 2), p. 168b, Forty 1st Annual Meeting of the American Society of Hematology, New Orleans, LA, December 3-7, 1999, M-A. Dorheim *et al.*, *J Cell Physiol* 154, 317 (1993), M.K. Majumdar, M.A. Thiede, J.D.

Mosca *et al.*, *J Cell Physiol.* 176, 57 (1998), D.J. Prockop, *Science* 276, 71 (1997), R.S. Taichman, S.G. Emerson, *J Exp Med* 179, 1677 (1994); R.S. Taichman, M.J. Reilly, S.G. Emerson, *Blood* 87, 518 (1996); C.M. Verfaillie, in *HEMATOLOGY: Basic Principles and Practice*, R. Hoffman, *et al.*, Eds. (Churchill Livingstone, New York, 2000), pp. 140-142.), A.J. Henderson, A. Johnson, K. Dorshkind, *J Immunol* 145, 423 (1990); M.W. Long, J.L. Williams, K.G. Mann, *J Clin Invest* 86, 1387 (1990); P.J. Simmons, S. Gronthos, A. Zannettino *et al.*, *Prog Clin Biol Res* 389, 271 (1994); B.A. Roecklein, B. Torok-Storb, *Blood* 85, 997 (1995); J. Wineman, K. Moore, I. Lemischka *et al.*, *Blood* 87, 4082 (1996); K.A. Kelly, J.M. Gimble, *Endocrinology* 139, 2622 (1998); K.C. Hicok *et al.*, *J Bone Miner Res* 13, 205 (1998); S.R. Park, R.O. Oreffo, J.T. Triffitt, *Bone* 24, 549 (1999); J.E. Dennis *et al.*, *J Bone Miner Res* 14, 700 (1999); and B. Torok-Storb *et al.*, *Ann NY Acad Sci* 872, 164 (1999)). Instead, the inventor determined that there are only three types of cells in Dexter-type cultures, namely, macrophages (~35%), hematopoietic cells (~5%), and a type applicant calls "nonhematopoietic cells" (~60%)(Figure 3, Figure 4A, and Table 1).

Bone marrow mesenchymal cells, the nonhematopoietic cells in Dexter type cultures, possess distinctive features that have previously gone unrecognized. There is both direct visual (Figures 4A-E and Figure 5) and molecular biological (Figure 6) evidence to support the existence of this unique cell type. These findings challenge the prevailing belief that stromal cells derived from Dexter cultures comprise multiple singly-differentiated mesenchymal cell types. Because Dexter cultures represent a primary cell culture system, and not a cell line, these studies indicate that cells in these primary cultures themselves are pluri-differentiated, which has been previously unsuspected. The nonhematopoietic cells of the present invention (MPCs) simultaneously express marker genes specific for multiple mesenchymal cell lineages, including adipocytes, osteoblasts, fibroblasts and smooth muscle cells.

The MPCs in Dexter type cultures were characterized using a variety of techniques. Cytospins were prepared using aliquots of

unfractionated cells for performance of various cytological, cytochemical and immunocytochemical stains. Reactivity patterns of the bone marrow culture cells are outlined in Table 1. Figures 4A-E illustrate morphologic and phenotypic characteristics, as uncovered by staining for representative cell lineage markers.

Only rarely have investigators in this field taken the approach of preparing a cell suspension and staining cells on cytospins as was done to characterize the cells of the present invention (Simmons, *et al.*, *Nature* 328, p429-32 (1987)) and no other group has used this method to address the issue of pluri-differentiation by bone marrow stromal cells. Almost all of the published studies in the field, with a rare exception (Simmons, *et al.*, *Nature*, 328, p429-32 (1987)), conducted cytochemical and immunocytochemical staining on layers of stromal cells grown to confluence on coverslips. In this situation, the stromal cultures appear very complex especially in the areas of hematopoietic activity, the so-called "cobblestones" with macrophages and hematopoietic cells enmeshed in them. Macrophages and nonhematopoietic cells spread themselves and assume varied shapes when they adhere to and grow on plastic or glass that further contributes to the perceived heterogeneity and complexity. The complexity precludes a clear morphological visualization of the nonhematopoietic cells and consequently interfered with the determination of what percent of what cell type is positive for any given marker.

In terms of lineage markers, up to 100% of the nonhematopoietic cells or MPCs of the present invention expressed two fat cell markers (Nile Red (Figure 4E) and Oil Red O); an osteoblast marker (alkaline phosphatase (Figure 4F)); and two fibroblast markers (fibronectin (Figure 4G) and prolyl-4-hydroxylase). Greater than 85% of the MPCs were also positive for a muscle marker, actin (Figure 4H). There was no evidence of expression of endothelial cell differentiation, as judged by immunohistochemical staining for CD34 and CD31.

In addition, the Dexter type stromal cells had not previously been subjected to Periodic acid-Schiff (PAS) staining, which revealed a strong and uniform positivity by almost 100% of the MPCs studied indicating the presence of large stores of glycogen (Figure 4D). The presence of glycogen
5 (6) was confirmed by electron microscopy (see Figure 5). In this respect, MPCs are reminiscent of the glycogen-laden reticular cells in the developing bone marrow of human fetuses (observed by L-T. Chen, L.Weiss, *Blood* 46, 389 (1975)). Glycogen deposition is viewed to be a developmentally regulated process during morphogenesis (H. Ohshima, J. Wartiovaara, I.
10 Thesleff, *Cell Tissue Res.* **297**, 271 (1999)).

The MPCs also exhibited cytoplasm compartmentalization into endoplasm and ectoplasm. This morphologic finding sheds light on their internal architecture because of correlation of restricted localization of
15 glycogen and smooth muscle actin to ectoplasm; and of acid phosphatase, alkaline phosphatase, Nile Red, Oil Red O, fibronectin, and prollyl-4-hydrolase to endoplasm.

Additional sets of multiple mesenchymal lineage markers were
20 assessed by Northern blotting of unfractionated cells and purified MPCs to eliminate any observer bias that might be inherent in morphological assessment. Figures 6A-M represent different gene probes used for hybridization. The sources of the gene probes employed and the major transcripts observed are outlined in the brief description of the figures.

25 Compared to unfractionated cells, the purified nonhematopoietic cells expressed significantly higher levels of markers representing fat cells (adipsin, Figure 6D); osteoblasts (osteoblast-specific cadherin-11, chondroitin sulfate, collagen type 1 and decorin, Figures 6E-H); fibroblasts (fibronectin,
30 Figure 6J); and smooth muscle cells (caldesmon and transgelin, Figures 6K-L).

Taken together, the morphologic, cytochemical, and immunocytochemical results (Figure 4A-H and Table 1), and the Northern

blotting data (Figure 6A-M) indicate that the nonhematopoietic stromal cells of the Dexter cultures co-express markers specific for at least four different mesenchymal cell lineages. Using a variety of techniques, applicant has demonstrated that the MPCs co-express multilineage mesenchymal cell phenotypes, and in this respect the multi- or pluri-differentiated MPCs are distinct from the pluri-potential, but undifferentiated, MSCs of Friedenstein cultures (Prockop, *Science* 276, 71-74 (1997)).

Isolation of MPCs

10

The nonhematopoietic cells of the present invention were purified from the macrophages, the dominant "contaminating" cell type, using a Percoll gradient method developed by applicant. MPCs were purified by the following process: cells from a Dexter-type culture were treated to obtain a cell suspension, the macrophages were removed, and the cells were fractionated using discontinuous Percoll gradient centrifugation (Figure 2). The isolated MPCs were then collected and washed.

The purity of the nonhematopoietic cells was demonstrated by a near complete absence of two macrophage markers, CD68 and cathepsin B (as shown by Northern blotting data, Figure 6A and 6B). As a positive control, bone marrow mononuclear cells rich in myelomonocytic cells abundantly expressed CD68 (lanes 5 & 6, Figure 6A). The Northern blot results are consistent with a purity estimate of ~95% (vs. 60% in unfractionated samples) based on morphology and immunocytochemical staining for CD68.

A purified source of MPCs is desirable for a number of reasons. The relative ease with which large numbers of the MPCs can be purified and their distinctive phenotypic characteristics make them valuable targets for future investigations. Purified MPCs provide a sufficiently defined system to permit detailed elucidation of the role of bone marrow in normal and leukemic hematopoiesis in addition to aiding in bone marrow transplantation.

Another major reason that purified cells are desirable is that Dexter cultures also contain a significant percentage of highly immunogenic macrophages that can cause onset of GvHD after transplantation. The MPCs of the present invention are purified to ~95% free of macrophages and hematopoietic cells. Note the increased survival rate in SCID mice that received purified MPCs versus those that received unfractionated bone marrow stromal cells in Figure 13B.

Enhancing Engraftment

10

The present invention also provides methods of enhancing the engraftment of hematopoietic cells and of enhancing the engraftment of bone marrow. The hematopoietic support capacity of the Dexter-type cultures has been repeatedly demonstrated by a number of investigators. RT-PCR analysis showed that Dexter cultures and Friedenstein cultures expressed a similar pattern of cytokine and growth factor mRNAs; yet, Dexter cultures were found to be more efficient than Friedenstein cultures in achieving preservation of hematopoietic progenitors (Majumdar, *et al.*, *J.Cell.Physiol.*, 176, 57-66.). The pluri-differentiated MPC is capable of supporting hematopoiesis, as shown by its ability to express representative hematopoietic growth factors/cytokines, i.e., G-CSF and SCF as well as matrix receptors/hematopoietic cell adhesion molecules, i.e., ICAM-1, VCAM-1 and ALCAM (Figure 7).

25

Clarification of the nature of the stromal cells and the ability to purify these cells makes it possible to use them as an adjuvant in bone marrow transplantation following high-dose chemotherapy and radiation therapy. These treatment modalities not only cause damage to the hematopoietic stem cells but also to the supportive stromal cells. However, because the bone marrow microenvironment is destroyed, hematopoietic progenitor cell engraftment is delayed until the stromal environment is restored. As a result, a critical aspect of the current invention is directed to the advantages of transplanting isolated mesenchymal progenitor cells to accelerate the process of stromal reconstruction and ultimately bone marrow

engraftment. The stromal cells present in the standard bone marrow transplant are not sufficient in number and can be supplemented with the cultured MPCs of the present invention.

5 Yet another embodiment of the current invention provides the use of MPC transplantation to major leukemic conditions, such as acute myeloid leukemia (AML), myelodysplastic syndromes (MDS), chronic myeloid leukemia (CML) and multiple myeloma (MM). This is based on applicant's determination that bone marrow stromal cells in a leukemia patient are
10 functionally and structurally defective, regardless of the damage caused by chemotherapy and radiation therapy. Such defects in bone marrow stromal cells are likely to aid and abet leukemia development. Alternatively, stromal cell defects could be secondarily induced by surrounding leukemia cells, thus contributing to the loss of hematopoietic support function of stromal cells and
15 hematopoietic failure, which is an invariable feature in leukemia. Regardless whether the observed stromal cell defects are primary or secondary to the leukemic process, by reason of their indisputable impact on normal hematopoiesis, these defects remain to be corrected to improve the hematopoietic function.

20
Stromal cells have never been carefully investigated in terms of genomics in view of the widespread belief that they represent a heterogeneous mixture of cell types. Tissue or cellular heterogeneity presents a major challenge for the application of microarray technology. The purified
25 stromal cells of the present invention represent a single pluridifferentiated MPC which allows for genomic study of the stromal cells and the development of new, more objective diagnostic tools for patients suffering from leukemia conditions.

30 The ability to purify culture-expanded MPCs from both normal individuals and patients afflicted with various leukemias also allows testing of the hematopoietic supportive role of MPCs in mice models. These systems provide an *in vivo* model in which to examine the role of human bone marrow microenvironment in normal and leukemic hematopoiesis.

The Severe Combined Immunodeficiency Disease (SCID) mouse model is an ideal system in which to investigate MPC function. Engraftment of human hematopoietic progenitors in SCID mice has required
5 either coadministration of exogenous human cytokines, or cotransplantation of human bone marrow plugs or bone fragments. As disclosed herein MPCs are a convenient, new source for human bone marrow stromal cells for enhancing transplantation that does not require cytokines, bone fragment, or marrow.

10 Unlike prior methods, the isolated MPCs of the present invention support human hematopoiesis in the SCID mouse model as effectively as whole marrow stroma. The transplantation of human marrow mononuclear cells combined with purified MPCs results in dramatically vigorous engraftment of human cells in spleen, bone marrow, liver, pancreas, lungs,
15 stomach, and paravertebral neuronal ganglia of SCID mice (Figures 10A-H and Figures 11A-C). By contrast, mice receiving human bone marrow mononuclear cells alone or MPCs alone expectedly showed no detectable evidence of human hematopoietic cell engraftment (Figure 13A and Figure 13B).

20

GvHD

The present invention also provides for a method of preventing or treating GvHD. The highest mortality rate, Figure 13B, was observed in
25 mice receiving the unpurified whole marrow stroma and the bone marrow mononuclear cells. The increased mortality observed is related to the presence of highly immunogenic macrophages and consequent GvHD. The mice with the highest survival rate, shown in Figure 13A, were the mice receiving purified MPCs and bone marrow mononuclear cells.

30

Notably, there is discrete TUNEL-positive nuclei in the liver of the expired mouse in Figure 14B and complete absence of staining in the liver of the surviving mouse (see Figure 14A). While some ill-defined globules of staining are observed in the spleen of the mouse that survived, the nuclear

integrity of most of the cells is well preserved suggesting minimal or no apoptosis (Figure **14C**). In contrast, the dead mouse spleen (Figure **14D**) showed extensive TUNEL positivity precluding accurate interpretation. Control mouse liver and spleen showed results similar to those of the mouse that
5 survived.

The above results indicate that purified MPCs can support human hematopoiesis in SCID mice as effectively as whole marrow stroma. Equally important is that the purified MPCs increased the survival rate. The
10 evidence shows that the increased survival is due to a reduction in GvHD.

Allogeneic bone marrow transplantation is the preferred method of treatment for a variety of malignant and genetic diseases of the blood and blood forming cells. However, failure of hematopoietic cell engraftment can
15 occur for a number of reasons. These include, microenvironmental defects as part of the underlying disease itself (e.g., aplastic anemia), and/ or stromal cell damage caused by chemoradiotherapy and/ or microenvironmental damage as part of GvHD which is a dreaded complication following bone marrow transplantation. In GvHD, donor T cells present in the hematopoietic cell graft
20 destroy host tissues. GvHD can involve multiple organs such as skin, liver, GI system etc. The current treatment modalities for graft failure or GvHD are cumbersome, costly and involve some form of immunosuppression. Stromal cell lesions either primary to the disease process or secondarily induced by allogeneic bone marrow transplantation play a prominent role in the success
25 or failure of the hematopoietic cell graft. Cotransplantation of MPC not only enhances hematopoietic cell engraftment but also prolongs the life of graft recipients by minimizing GvHD. Co-transplantation of healthy, culture-expanded MPC is a viable option in these situations.

30 The human bone marrow used in the Dexter-type cultures of the present invention can be obtained from a number of different sources in accordance with the procedures known in the art, including from plugs of femoral head cancerous bone pieces or from aspirated marrow. The cells

used in the Dexter culture can be autologous, from the tissue donor, or from other individuals.

Modes of administration of MPCs include, but are not limited to, systemic intravenous injection and injection directly to the intended site of activity. The MPCs can be administered by any convenient route, for example by infusion or bolus injection, and can be administered together with other biologically active agents. Administration is preferably systemic.

The methods of the present invention can be altered, particularly by (1) increasing or decreasing the time interval between administering MPCs and implanting the tissue, cells, or implanting the organs; (2) increasing or decreasing the amount of MPCs administered; (3) varying the number of MPC administrations; (4) varying the method of delivery of the MPCs; (5) or varying the source of MPCs.

The MPC preparations are used in an amount effective to promote engraftment of hematopoietic progenitor cells or bone marrow cells; or for the treatment or prevention of GvHD in the recipient. The pharmaceutically effective amount for the purposes herein is thus determined by such considerations as are known in the art. In general, such amounts are typically at least 1×10^4 MPCs per kg of body weight and most generally need not be more than 7×10^5 MPCs/kg.

The present invention also provides pharmaceutical compositions. Such compositions comprise a therapeutically effective amount of MPCs and a pharmaceutically acceptable carrier or excipient. Such a carrier includes but is not limited to McCoy's medium, saline, buffered saline, dextrose, water, and combinations thereof. The formulation should suit the method of administration.

In one embodiment, the MPC preparation or composition is formulated in accordance with routine procedures as a pharmaceutical composition adapted for intravenous administration to human beings.

Typically, compositions for intravenous administration are solutions in sterile isotonic aqueous buffer. Where necessary, the composition can also include a local anesthetic to ameliorate any pain at the site of the injection. Generally, the ingredients are supplied either separately or mixed together in unit dosage
5 form, for example, as a cryopreserved concentrate in a hermetically sealed container such as an ampoule indicating the quantity of active agent. Where the composition is to be administered by infusion, it can be dispensed with an infusion bottle containing sterile pharmaceutical grade water or saline. Where the composition is administered by injection, an ampoule of sterile water for
10 injection or saline can be provided so that the ingredients can be mixed prior to administration.

The present invention paves the way for applications of mesenchymal progenitor cells in the field of transplantation with respect to
15 hematopoietic support, immunoregulation, and graft facilitation. MPCs can be used as a supporting cell type in bone marrow transplantation, particularly in diseases where defects in the hematopoietic stromal microenvironment are believed to prevail, such as aplastic anemia, myelofibrosis, and bone marrow failure following high dose chemotherapy and radiation therapy.

20

Diagnostic Applications

Another aspect of the invention provides a method for diagnosing various disease states in mammals by identifying new diagnostic
25 markers, specifically the classification and diagnosis of leukemia. Prior to the present invention, stromal cells were not carefully investigated in terms of genomics because of the widespread belief that they represent a heterogeneous mixture of cell types and cellular heterogeneity presents significant challenges for the application of genetic analysis such as
30 microarray technology. The isolated MPCs of the present invention represent a single cell type and allow for genomic study of the stromal cells.

Using the methods of the present invention, it has been determined that bone marrow stromal cells in leukemia patients are

functionally and structurally defective regardless of the damage caused by chemotherapy and radiation therapy. Given the almost 25 year history and intense interest in bone marrow stromal cell cultures, previous documentation of stromal cell abnormalities has been disappointingly low (Martinez & Martinez, *Exp. Hematol* 11:522-26 (1983); Budak-Alpdogan, *et al.*, *Am.J.Hematol*, 62:212-20 (1999); Nagao, *et al.*, *Blood*, 61:589-92 (1983); Peled, *et al.*, *Exp.Hematol* 24:728-37 (1996); Bhatia, *et al.*, *Blood* 85:3636-45 (1995); Agarwal, *et al.*, *Blood* 85:1306-12 (1995); Diana, *et al.*, *Blood* 96:357a(2000)). By identifying gene sets that are unique to a given state, these differences in the stromal cells can be utilized for diagnostic purposes.

In one embodiment of the invention, isolated MPCs from a patient are assayed for expression of a large number of genes. The gene expression profile is projected into a profile of gene set expression values according to the definition of gene sets. A reference database containing a number of reference projected profiles is also created from the isolated MPCs of patients with known states, such as normal and various leukemic disease states. The projected profile is then compared with the reference database containing the reference projected profiles. If the projected profile of the patient matches best with the profile of a particular disease state in the database, the patient is diagnosed as having such disease state. Various computer systems and software, see Example 5, can be utilized for implementing the analytical methods of this invention and are apparent to one of skill in the art. Some of these software programs include Cluster & TreeView (Stanford, URLs: rana.lbl.gov or www.microarray.org), GeneCluster (MIT/Whitehead Institute, URL: MPR/GeneCluster/GeneCluster.html), Array Explorer (SpotFire Inc, URL: <http://www.spotfire.com/products/scicomp.asp#SAE>) and GeneSpring (Silicon Genetics Inc, URL: <http://www.sigenetics.com/Products/GeneSpring/index.html>) (for computer systems and software, see also U.S. Patent No. 6,203,987).

The methods of the present invention can also be useful for monitoring the progression of diseases and the effectiveness of treatments.

For example, by comparing the projected profile prior to treatment with the profile after treatment.

Therapeutic Applications

5

One aspect of the invention provides methods for therapeutic and drug discovery utilizing bone marrow derived isolated mesenchymal progenitor cells. The present invention can be utilized to identify stromal cell genes that can be therapeutic targets for improvement of normal
10 hematopoietic function, which is constantly compromised, in leukemic patients. In one embodiment, gene sets are defined using cluster analysis. The genes within a gene set are indicated as potentially co-regulated under the conditions of interest. Co-regulated genes are further explored as potentially being involved in a regulatory pathway. Identification of genes
15 involved in a regulatory pathway provides useful information for designing and screening new drugs.

Some embodiments of the invention employ gene set definition and projection to identify drug action pathways. In one embodiment, the
20 expression changes of a large number of genes in response to the application of a drug are measured. The expression change profile is projected into a gene set expression change profile. In some cases, each of the gene sets represents one particular pathway with a defined biological purpose. By examining the change of gene sets, the action pathway can be deciphered. In
25 some other cases, the expression change profile is compared with a database of projected profiles obtained by perturbing many different pathways. If the projected profile is similar to a projected profile derived from a known perturbation, the action pathway of the drug is indicated as similar to the known perturbation. Identification of drug action pathways is useful for drug
30 discovery. See, Stoughton and Friend, Methods for Identifying pathways of Drug Action, U.S. patent application Ser. No. 09/074,983.

The invention is further described in detail by reference to the following experimental examples. These examples are provided for the

purpose of illustration only, and are not intended to be limiting unless otherwise specified. Thus, the invention should in no way be construed as being limited to the following examples, but rather, should be construed to encompass any and all variations which become evident as a result of the teaching provided herein.

Examples

The examples presented in this experiment can be summarized as follows. The data disclosed herein demonstrate that Dexter cultures consist of only three cell types: macrophages (~35%), hematopoietic cells (~5%), and nonhematopoietic cells (~60%). Using a percoll gradient centrifugation technique, the nonhematopoietic mesenchymal progenitor cells were isolated, free of macrophages and hematopoietic cells. A variety of techniques were used to identify the isolated cells as a multi-differentiated mesenchymal cell lineage co-expressing genes specific for multiple mesenchymal cell lineages including adipocytes, osteoblasts, fibroblasts and muscle cells.

Evidence that this multi- or pluri-differentiated mesenchymal progenitor cell is capable of supporting hematopoiesis is shown by the expression of a number of hematopoietic growth factors and extracellular matrix receptors. The SCID mouse experimental data provides evidence that since the MPCs can be purified to near homogeneity (95%) with relative ease, MPCs can be of value for enhancing engraftment of hematopoietic stem cells and bone marrow transplants. Additionally, increased survival rate in the SCID mouse model indicates that isolated MPCs can also be useful for the treatment of GvHD. An example of the administration of bone marrow cells and MPCs to breast cancer patients treated with chemotherapy is also provided.

A stepwise genomics strategy and an example of the genomic changes observed in leukemia associated MPCs is also provided. Cluster analysis was performed to show gene expression patterns in isolated MPCs

of a normal individual and individuals with different leukemic conditions. The approach presented provides the basis for a new more objective means to diagnose patients suffering from leukemic conditions.

5

EXAMPLE 1

Isolation and Characterization of MPCs from Dexter-Type Bone Marrow Stromal Cell Culture Systems

10

Bone marrow culture:

Bone marrow samples were obtained from posterior superior iliac crest under general anesthesia for standard marrow transplantation. Marrow stromal cell cultures were set up using the residual cells recovered from the filters of Fenwal Bone Marrow Collection System after complete filtration of the marrow samples. The filters were rinsed with phosphate-buffered saline without Ca^{2+} and Mg^{2+} (PBS-CMF). The cell suspension was subjected to Ficoll gradient isolation of the mononuclear cells (bone marrow MNCs). The bone marrow MNCs were washed (x2) in PBS-CMF and suspended in McCoy's 5A with HEPES medium containing 12.5% fetal bovine serum (FBS), 12.5% horse serum, 1 $\mu\text{M/L}$ hydrocortisone and 1% penicillin/streptomycin (for this study McCoy's complete medium) and cultured under standard stromal-cell culture conditions (Figure 1) (Seshi, *et al. Blood* 25 83, 2399 (1994) and Gartner, *et al. Proc Natl Acad Sci USA* 77, 4756 (1980). After two weeks, confluent stromal cell cultures were trypsinized (first passage), followed by splitting each T75 flask into two T150 flasks.

Morphologic and phenotypic characteristics of MPCs as uncovered by staining for representative mesenchymal cell lineage markers:

30

Two weeks after the first passage (above), confluent stromal cells were again trypsinized. Cytospins were prepared using aliquots of

unfractionated cells for performance of various cytological, cytochemical and immunocytochemical stains.

Reactivity patterns of the bone marrow culture cells are outlined in Table 1. Figures 4A-E illustrate morphologic and phenotypic characteristics, as uncovered by staining for representative cell lineage markers. As illustrated in Table 1 and Figures 3 and 4A, Wright-Giemsa staining revealed three morphologically identifiable cell populations in Dexter type stromal cell cultures, macrophages, hematopoietic cells, and nonhematopoietic cells (labeled 4, 3, and 5, respectively).

The identity of macrophages was confirmed by immunostain using anti-CD68 antibody (Figure 4B) and cytochemical stains for acid phosphatase and Sudan black. The identity of hematopoietic cells (including macrophages) was confirmed by immunostain using anti-CD45 antibody (Figure 4C).

The remaining nonhematopoietic cells stained intensely positive for Periodic acid-Schiff, which was diastase sensitive, signifying the presence of large stores of glycogen (Figure 4D). The presence of glycogen (6) was confirmed by electron microscopy (see Figure 5). In this respect, MPCs are reminiscent of the glycogen-laden reticular cells in the developing bone marrow of human fetuses (observed by L-T. Chen, L.Weiss, *Blood* 46, 389 (1975)). Glycogen deposition is viewed to be a developmentally regulated process during morphogenesis (H. Ohshima, J. Wartiovaara, I. Thesleff, *Cell Tissue Res.* 297, 271 (1999)).

In terms of lineage markers, up to 100% of the nonhematopoietic cells expressed two fat cell markers (Nile Red (Figure 4E) and Oil Red O); an osteoblast marker (alkaline phosphatase (Figure 4F)); and two fibroblast markers (fibronectin (Figure 4G) and prolyl-4-hydroxylase). Greater than 85% of the nonhematopoietic cells were also positive for a muscle marker, actin (Figure 4H). There was no evidence of expression of

endothelial cell differentiation, as judged by immunohistochemical staining for CD34 and CD31 (data not shown).

The results indicate that the nonhematopoietic cells of the
 5 Dexter cultures are in fact a single, pluri-differentiated cell type co-expressing multiple mesenchymal cell lineage markers. The pluri-differentiated mesenchymal progenitor cells reported here are to be distinguished from the pluri-potential, but undifferentiated, MSCs that are generated in the absence of hematopoietic cells, such as in Friedenstein-type cultures.

10 **Table 1. Reactivity patterns of bone marrow stromal cells based on cytological, cytochemical and immunocytochemical stains*,*****

	Figure	Test Utilized	Macrophages	Hematopoietic cells	Mesenchymal progenitor cells
1	3 and 4A	Wright-Giemsa (Harleco)	Large cells with a small round nucleus & foamy cytoplasm: 35% of total cells	Small cells with minimal amount of cytoplasm: 5% of total cells	Large cells with a relatively irregular nucleus & cytoplasm compartmentalized into ectoplasm and endoplasm: 60% of total cells
2	4D	Periodic acid-Schiff (PAS) (Sigma)	0	0	~100% MPCs: staining restricted to ectoplasm in a ring-like fashion; and completely abolished by diastase digestion
3	4C	CD45 (Dako, PD7/26 & 2B11)	100% macrophages (MΦ)	100% HCs	0
4	4B	CD68 (Immunotec h, clone PG-M1)	100% MΦ	0	0
5		Sudan Black (Sigma)	~100% MΦ	0	0
6		Acid phosphatase (Sigma Kit No. 387)	100% MΦ; positive granules packed throughout cytoplasm	0	100% MPCs; positive granules in moderate amounts; staining restricted to endoplasm
7	4E	Nile Red (Sigma)	0	0	~100% MPCs: staining restricted to endoplasm
8		Oil Red O (Sigma)	0	0	~ 95% MPCs: variable number of positive granules; staining preferentially in the endoplasm

9	4F	Alkaline phosphatase (Sigma Kit No. 85)	0	0	~100% MPCs: variable number of positive granules; staining restricted to endoplasm & plasma membrane**
10	4G	Fibronectin (Immunotech, clone 120.5)	0	0	~100% MPCs: staining restricted to endoplasm
11		Prolyl-4-hydroxylase (Dako, clone 5B5)	0	0	~100% MPCs: staining preferentially in the endoplasm
12	4H	Muscle actin (Ventana, clone HUC 1-1)	0	0	> 85% MPCs: variable staining restricted to ectoplasm

*The lineages of the markers tested above are: 3, hematopoietic cell marker; 4, 5 and 6, monocyte/macrophage markers; 7 and 8, adipocyte markers; 9, osteoblast marker; 10 and 11, fibroblast markers; 12 muscle marker.

- 5 **One earlier study (Simmons, *et al.*, Nature 328, 429-432) interpreted the localization of alkaline phosphatase staining as confined to the plasma membrane when in fact it is predominately present within the endoplasm (compare Figure 1C of this reference with Figure 4F).

- 10 *** While well-accepted mesenchymal lineage markers were used, these markers do not necessarily lend themselves to simultaneous assessment of the same cell. For example, muscle-specific actin antibody worked only on formalin-fixed, paraffin embedded material, whereas stains like alkaline phosphatase, Oil Red and Nile Red are not anti-body based and involve varying fixing and staining conditions. Thus, the evidence shows that close to
- 15 100% of members of a morphologically distinct population express multiple lineage markers of interest.

Bone marrow mesenchymal progenitor cell (MPC) purification:

- 20 To further investigate the characteristics of the MPCs, the nonhematopoietic stromal cells were then purified from the macrophages (~95% pure), the dominant "contaminating" cell type using the following method. Confluent monolayers of stromal cells resulting from first passage,

above, were washed for three minutes in $\text{Ca}^{2+}/\text{Mg}^{2+}$ free Hanks' balanced salt solution. Cells were incubated at room temperature for 45 minutes with intermittent mixing in serum-free McCoy's medium containing 10 mM L-leucine methyl ester (LME, Sigma). LME is a lysosomotropic agent that selectively kills and detaches macrophages. The detached macrophages were removed by washing the monolayers twice in McCoy's complete medium, followed by trypsinization of the monolayers. The resulting single cell suspensions were fractionated by discontinuous Percoll gradient (70%, 50%, 30%, 20%, 10%) centrifugation at 800xG for 15 minutes at 4°C in a fixed angle rotor (Avanti-J25 Beckman centrifuge) (Figure 2). Low-density cells representing the macrophages resistant to detachment by LME separate as a band at the interface of serum and 10% Percoll and were discarded (1). High-density nonhematopoietic cells representing MPCs form a layer in the region of 30-50% Percoll (2). These were collected and washed twice by centrifugation through PBS-CMF. This protocol is conservatively expected to yield, $>2.5 \times 10^6$ MPCs per T-150 flask (i.e., $>50 \times 10^6$ MPCs per batch of 20 flasks). The purity of these preparations, typically about 95%, was routinely monitored by Wright-Giemsa staining.

20 *Northern Blotting:*

Additional sets of multiple mesenchymal lineage markers were assessed by Northern blotting to eliminate any observer bias that might be inherent in morphological assessment. Figures 6A-M represent different gene probes used for hybridization. The sources of the gene probes employed and the major transcripts observed are outlined in the brief description of the figures.

Total RNA was prepared by dissolving the high-density cell pellets in Trizol (Life-Technologies). Total RNA samples from unfractionated stromal cells and BM MNCs were similarly prepared. The RNA samples were electrophoresed in a standard 1% agarose gel containing 2% formaldehyde in MOPS/EDTA buffer and blotted onto Immobilon-Ny+ membrane. Probes were

labeled using Prime-A-Gene Kit (Promega) and $\alpha^{32}\text{P}$ dCTP (NEN). Hybridization was performed at 65°C in modified Church's hybridization solution using 3×10^6 counts/ml in 10 ml (Millipore, 1998).

5 In Figures **6A-M**, Northern blot analysis was performed side-by-side on fractionated stromal cells, nonhematopoietic cells freed of macrophages, and initial bone marrow mononuclear cell samples. Lanes 1 and 2 represent total RNA samples (10µg each) from unfractionated stromal cells (subjects S1 and S2, respectively). Lanes 3 and 4 represent total RNA
10 samples (10µg each) from purified stromal MPCs (subjects S1 and S2, respectively). Lanes 5 and 6 represent total RNA samples (10µg each) from bone marrow mononuclear cells, the starting cells for bone marrow cell cultures (subjects S3 and S4, respectively).

15 The large transcripts, especially of collagen (lane 1, Figure **6G**) and fibronectin (lane 1, Figure **6J**), in RNA extracted from unfractionated stromal cells of subject 1 showed difficulty migrating into the gel. This observation correlates with the presence of an artifact of unresolved positive material in lane 1, Figure **6A**. Since the RNA extracted from unfractionated
20 stromal cells of the subject 2 did not present this problem (lane 2, Figure **6G**, Figure **6J** and Figure **6A**), the observation does not impact on the overall interpretation of the results (see text). The lineages of markers tested were: monocyte/macrophage markers, CD68 and cathepsin B; adipocyte marker, adipsin; osteoblast markers, osteoblast-specific cadherin-11, chondroitin
25 sulfate proteoglycan 2, collagen type I alpha 1 and decorin; fibroblast marker, fibronectin; muscle markers, caldesmon and transgelin. Marker signals were normalized to the amount of RNA loaded, which was based on densitometry of the GAPDH signals on the corresponding blot (Bio-Rad Model GS-700 Imaging Densitometer). Attenuation or enhancement of the marker signals in
30 the purified stromal MPCs (i.e., lanes 3 and 4) relative to unfractionated stromal cells (i.e., lanes 1 and 2, respectively) is shown as fold Δ (decrease/increase) underneath the lanes 3 and 4; ND, means not determined.

The purity of the nonhematopoietic cells was demonstrated by a near complete absence of two macrophage markers, CD68 and cathepsin B (as shown by Northern blotting data, Figure 6A and 6B). As a positive control, bone marrow mononuclear cells rich in myelomonocytic cells abundantly expressed CD68 (lanes 5 & 6, Figure 6A). The Northern blot results are consistent with a purity estimate of ~95% (vs. 60% in unfractionated samples) based on morphology and immunocytochemical staining for CD68.

Compared to unfractionated cells, the purified nonhematopoietic cells expressed significantly higher levels of markers representing fat cells (adipsin, Figure 6D); osteoblasts (osteoblast-specific cadherin-11, chondroitin sulfate, collagen type 1 and decorin, Figures 6E-H); fibroblasts (fibronectin, Figure 6J); and smooth muscle cells (caldesmon and transgelin, Figures 6K-L).

No trace of osteoblast, fibroblast, or smooth muscle cell markers were detected in the bone marrow mononuclear cells, suggesting a less than detectable level of stromal cells or their precursors in bone marrow mononuclear cells. However, the fat cell marker, adipsin, was detected in all samples including the bone marrow mononuclear cells.

Taken together, the morphologic, cytochemical and immunocytochemical results (Figure 4A-H and Table 1), and the Northern blotting data (Figure 6A-M) indicate that the nonhematopoietic stromal cells of the Dexter cultures co-express markers specific for at least four different mesenchymal cell lineages.

This finding is especially intriguing because pluri-differentiation is often a feature of neoplastic cells (Brambilla and Brambilla, *Rev. Mal. Respir.* 3,235 (1986); Pfeifer et al., *Cancer Res.* 51, 3793-3801 (1991); Tolmay et al., *Virchow's Arch* 430, 209-12 (1997). However, a cytogenetic

analysis of the Percoll-gradient purified MPCs showed a normal GTW banding pattern.

5 *RT-PCR Analysis for expression of representative hematopoietic growth factors and extracellular matrix receptors by MPCs*

RT-PCR was conducted in a total reaction volume of 100 µl using 2 µg each of total RNA; corresponding primers; and a master mix of the PCR reagents. The RT conditions included sequential incubations at 42°C for 10 15 minutes, 99°C for five minutes, and 5°C for five minutes. The PCR conditions included: initial melting at 94°C for four minutes; and cyclical melting at 94°C for 45 seconds, annealing at 55°C for 45 seconds and extension at 72°C for 45 seconds with 34 cycles. PCR was terminated after final extension at 72°C for ten minutes. Reaction products (G-CSF, SCF, each 15 25 µl; VCAM-1, ALCAM, each 50 µl; ICAM-1, 75 µl) were concentrated as necessary; electrophoresed along with a 100-bp DNA ladder (GIBCO-BRL) in a standard agarose (1%) gel in TAE buffer; and stained with ethidium bromide.

20 PCR products, shown in Figure 7 lanes labeled 1-2, were generated using aliquots of the same RNA samples from purified stromal MPCs, as used for Northern blotting shown under Figure 6 lanes 3 and 4 respectively. The gene transcripts amplified were as follows: G-CSF (granulocyte-colony stimulating factor); (Tachibana *et al.*, *Br. J. Cancer*, 76, 25 163-74 (1997); SCF (stem cell factor, i.e., c-Kit ligand); (Saito *et al.*, *Biochem. Biophys. Res. Commun.*, 13, 1762-69 (1994); ICAM-1 (intercellular adhesion molecule-1, CD54) and VCAM-1 (vascular cell adhesion molecule-1, CD106) (primers from R&D); and ALCAM (activated leukocyte cell adhesion molecule, CD166) (Bruder *et al.*, *J. Bone Miner. Res.*, 13, 655-63 (1998)).

30 The observed PCR products for G-CSF (600 bp, i.e., the top bright band) and ALCAM (175 bp) were significantly different from the expected sizes (278 bp; 372 bp, respectively). However, sequencing of the

gel-purified PCR bands and subsequent BLAST search showed a 99-100% identity with respective members. Attempts to detect c-Kit (i.e., SCF receptor) using primers as described (Saito *et al.*, *Biochem, Biophys. Res. Commun.*, 13, 1762-69 (1994)) amplified a PCR product of ~300 bp with no homology to c-Kit (data not shown). The observed product sizes for SCF (~730 bp); ICAM-1 (~750 bp); and VCAM-1 (~500 bp) were as expected.

As illustrated in Figure 7, RT-PCR analysis showed that purified, multi-differentiated MPCs express both critical hematopoietic growth factor/cytokines, such as G-CSF and SCF as well as matrix receptors/hematopoietic cell adhesion molecules, i.e. ICAM-1, VCAM-1, and ALCAM.

EXAMPLE 2

15

Comparison of the Ability to Support in vitro Hematopoiesis by Purified MPCs vs. Unfractionated Bone Marrow Stromal Cells

CD34+ positive cells (hematopoietic progenitor cells) were purified (DynaL kit) and cocultured with irradiated stromal monolayers for five weeks, followed by performance of standard colony assays for hematopoietic progenitors using methylcellulose medium supplemented with colony stimulating factors (using MethoCult medium from Stem Cell Technologies, Inc, Canada). Unfractionated bone marrow stromal cells and purified MPCs were prepared in the same manner as in Example 1. Data in Figure 8 represents results from three experiments. Purified MPC provides increased preservation of hematopoietic progenitor cells compared to unfractionated stromal cells.

EXAMPLE 3

Animal Model for Enhanced Engraftment Capacity of MPCs

5

The Severe Combined Immunodeficiency Disease (SCID) mouse model is an ideal system in which to investigate MPC function. Engraftment of human hematopoietic progenitors in SCID mice requires either coadministration of exogenous human cytokines, or cotransplantation of human bone marrow plugs or bone fragments.

10

There has been discovered a convenient, new source for human bone marrow stromal cells for enhancing transplantation that does not require cytokines, bone fragment, or marrow. Unlike prior methods, the isolated cells of the present invention support human hematopoiesis in the SCID mouse model as effectively as whole marrow stroma. The transplantation of human marrow mononuclear cells combined with purified MPCs results in dramatically vigorous engraftment of human cells in spleen, bone marrow, liver, pancreas, lungs, stomach, and paravertebral neuronal ganglia of SCID mice. By contrast, mice receiving human bone marrow mononuclear cells alone or MPCs alone expectedly showed no detectable evidence of human hematopoietic cell engraftment. Also notably, the mortality rate was highest in mice that received unfractionated whole marrow stroma whereas purified MPC increased the survival rate which can be due to reduction in GvHD.

15

20

Transplantation of human cells in SCID mice:

Homozygous CB-17 scid/scid mice, six to eight weeks of age, were used. Lyophilized anti-asialo GM1 rabbit antibody (Wako Chemicals) was suspended in 1 ml sterile ddH₂O, followed by pretreatment of mice with an IP injection of 20 ml (600 mg) ASGM1 antibody (to specifically deplete mouse macrophages and NK cells). Alternatively, one could use NOD/SCID mice lacking NK cell function, however, in light of highly promising preliminary results it was elected to continue use of scid/scid mice. The antibody

25

30

treatment schedule included four-hour pre-engraftment and every seven days thereafter for the duration of the experiment. On the day of transplantation, the mice were irradiated with 200 or 300 cGy gamma-irradiation from a ^{137}Cs source. Approximately 2.5×10^6 MPCs suspended in 0.5 ml McCoy's medium and/ or 25×10^6 MNCs suspended in 0.2 ml were injected per mouse, intraperitoneally. Hematopoietic cell engraftment was assessed after five weeks by harvesting and analyzing representative hematopoietic and nonhematopoietic organs including blood, spleen, bone marrow (from two femurs and tibia) from euthanized mice.

10

Flow Cytometric Evidence

Figure 9A and 9B are flow cytometric evidence of human hemopoietic cells in a SCID mouse cotransplanted with marrow MPC. Figure 9A shows the presence of CD45+/CD34+ progenitors in the marrow. Figure 9B shows CD45/CD34- mature hematopoietic cells circulating in the mouse's blood.

15

Photomicrographs of Cells

Figures 10A-H shows engraftment of human hematopoietic cells in a SCID mouse cotransplanted with the purified marrow MPCs of the present invention. Figure 10A shows a serial section of a mouse spleen stained with H & E. Figure 10B shows a serial section of a mouse spleen stained with immunoperoxidase stain for CD45. Figure 10C shows bone marrow stained for CD45. Figure 10D shows a serial section of the mouse liver stained with H&E depicting involvement of periportal areas. Figure 10E shows a serial section of the mouse stomach stained with H&E showing transmural infiltration. Figure 10F shows a serial section of the mouse lung stained with H&E showing involvement of peribronchial area. Figure 10G shows a serial section of the mouse pancreas stained with H&E. Figure 10H shows a serial section of the mouse paravertebral ganglia stained with H&E.

20

25

30

Figure 11A is a photomicrograph of a serial section of the spleen of a normal BALB/C mouse showing white pulp populated by darkly staining lymphocytes (H&E). Figure 11B is a photomicrograph of the spleen of a SCID mouse showing white pulp largely consisting of lightly staining stromal framework (H&E). Figure 11C is a photomicrograph of the spleen of a SCID mouse cotransplanted with human bone marrow MNC and the purified bone marrow MPCs of the present invention showing homing (engraftment) of human B cells to white pulp.

10 *Southern Blotting Data*

Hybridization of sample DNA using a DNA probe specific for human chromosome 17 alpha satellite DNA (p17H8) shows linear signal intensity with a 2.7 Kb band (arrow; autoradiogram exposed for only 45 minutes) (Figure 12A). Lanes 1-10 contain human DNA starting 1000 ng to 100 ng admixed with 0 ng 900 ng of mouse DNA, total amount DNA loaded in each lane being 1 ug, allowing construction of a standard curve. The reported limit of detection with this technique is 0.05% human cells, which is more reliable than flow cytometry in detecting very low levels of human cell engraftment.

Figure 12B is a Southern blot of EcoR1 digest of thymic genomic DNA from SCID mice. Lanes 1-5 were loaded with 500 through 100 ng human DNA. Lanes 6, 9-11 were loaded with DNA from mice which received unfractionated bone marrow stroma plus bone marrow mononuclear cells. Lanes 7, 8, 14, 15 were loaded with DNA from mice that received MPCs plus bone marrow mononuclear cells. Lanes 12, 13 were loaded with DNA from mice that received bone marrow mononuclear cells only. There is evidence of human cell engraftment in the mouse thymus in lanes 9 and 11 and lanes 14 and 15 evidenced by the 2.7 Kb band. There was no evidence of engraftment in mice that only received only bone marrow mononuclear cells, lanes 12 and 13.

Figure 12C is EcoR1 digest of Lymph Node genomic DNA from SCID mice. Lanes 1-5 were loaded with 500 through 100 ng human DNA. Lanes 6, 9-11 were loaded with DNA from mice which received unfractionated bone marrow stroma plus bone marrow mononuclear cells. Lanes 7, 8, 14, 15 were loaded with DNA from mice that received MPCs plus bone marrow mononuclear cells. Lanes 12, 13 were loaded with DNA from mice that received bone marrow mononuclear cells only. While there was evidence of engraftment of human cells in the mouse lymph nodes for mice that received unfractionated bone marrow stromal cells and MPCs, there was no evidence of engraftment in mice that only received only bone marrow mononuclear cells, lanes 12 and 13.

Increased Survival and Evidence of MPC Effect on GvHD

Figure 13A and Figure 13 B show graphs comparing the survival rate and engraftment of human hematopoietic cells in SCID mice cotransplanted with the purified bone marrow MPCs of the present invention versus unpurified bone marrow stromal cells. Mice in Figure 13A received 300 cGy irradiation dose and mice in Figure 13B received 200 cGy of irradiation. Figure 13A and Figure 13B show comparable engraftment of human hematopoietic cells in SCID mice cotransplanted with purified MPCs versus unpurified bone marrow stromal cells and the markedly enhanced survival of mice receiving purified MPCs. Notably, no engraftment was observed in mice receiving bone marrow mononuclear cells alone.

The highest mortality rate, Figure 13B, was observed in mice receiving the unpurified stromal cells and the bone marrow mononuclear cells. The increased mortality observed can be related to the presence of highly immunogenic macrophages and consequent GvHD. The mice with the highest survival rate, as shown in Figure 13A, were the mice receiving purified MPCs and bone marrow mononuclear cells.

Figures 14A-C demonstrate apoptosis by TUNEL assay in organs of SCID mice that died after transplantation with human bone marrow

mononuclear cells and unpurified bone marrow stromal cells. Figure 14A shows a serial section of the liver of the mouse that survived. Figure 14B shows a serial section of the liver of the mouse that died. Figure 14C shows a serial section of the spleen of the mouse that survived. Figure 14D shows a serial section of the spleen of the mouse that died. Hematoxylin counterstain was applied to sections in Figure 14A and Figure 14C. Methylgreen counterstain was applied to sections in Figure 14B and Figure 14D.

Notably, there is discrete TUNEL-positive nuclei in the liver of the expired mouse in Figure 14B and complete absence of staining in the liver of the surviving mouse Figure 14A. While some ill-defined globules of staining are observed in the spleen of the mouse that survived, the nuclear integrity of most of the cells is well preserved suggesting minimal or no apoptosis (Figure 14C). By contrast, the dead mouse spleen (Figure 14D) showed extensive TUNEL positivity precluding accurate interpretation. Control mouse liver and spleen showed results similar to those of the mouse that survived.

The size of the spleens from the mice that survived and the mice that died were compared. The dead mice were observed to have small and atrophic spleens correlating with lymphoid cell depletion and apoptosis.

The above results indicate that purified MPC can support human hematopoiesis in SCID mice as effectively as whole marrow stroma. Equally important is that the purified MPCs increased the survival rate. Evidence suggests that the increased survival can be due to a reduction in GVHD.

EXAMPLE 4

Administration of Bone Marrow Cells and Mesenchymal Progenitor Cells to Breast Cancer Patients Treated with Chemotherapy

A breast cancer patient undergoes a diagnostic posterior iliac crest bone marrow aspiration and biopsy using a local anesthetic. A small portion (2 to 3 ml) of the aliquot (10 to 20 ml) of marrow is submitted for

routine histologic testing and determination of the presence of tumor cells using immunoperoxidase testing. The remainder of the cells are Dexter cultured for MPCs as described above in Example 1.

5 The patient also undergoes placement of a pheresis central venous catheter, and receives subcutaneous injections of G-CSF (filgrastin) 10µg/kg/day as described in Peters, et al, Blood, Vol. 81, pgs. 1709-1719 (1993); Chao, et al, Blood, Vol. 81, pgs. 2031-2035 (1993); Sheridan, et al, The Lancet, Vol. 2, pgs. 891-895 (1989); and Winter, et al, Blood, Vol. 82, pg. 10 293a (1993). G-CSF injections begin at least three days before the first pheresis is initiated. G-CSF therapy is withheld if the white blood cell count rises above 40,000/µL and is resumed once the white blood cell count drops to less than 20,000/µL.

15 If the patient is receiving only G-CSF as the vehicle for "mobilization" of peripheral blood progenitor cells, the patient must not have received chemotherapy within four weeks of the planned pheresis. If the patient has received both conventional chemotherapy and G-CSF treatment for mobilization, the patient must not have received chemotherapy within ten 20 days of the planned pheresis, and the white blood cell count must be at least 800/µL and the platelet count at least 30,000/µL.

Daily pheresis procedures are performed using a Cobe Spectra instrument (Cobe, Lakewood, Col.), and each cellular collection is 25 cryopreserved using a controlled-rate liquid nitrogen freezer, until at least 15×10^8 mononuclear cells/kg are collected (Lazarus, et al., Bone Marrow Transplant, Vol. 7, pgs. 241-246 (1991)). Each peripheral blood progenitor cell is processed and cryopreserved according to previously published techniques. (Lazarus, et al., J. Clin, Oncol., Vol. 10, pgs, 1682-1689) (1992); 30 Lazarus et al., (1991)).

Eight days before the patient is infused with the autologous peripheral blood progenitor cells, the patient receives chemotherapy over a

period of 96 hours (four days), with the following chemotherapy agents: 1) Cyclophosphamide in a total dosage of 6 g/m^2 (1.5 g/m^2 /day for four days) is given via continuous intravenous infusion at 500 mg/m^2 in 1,000 ml normal saline every eight hours; 2) Thiotepa in a total dosage of 500 mg/m^2 /day for four days) is given via continuous intravenous infusion at 125 mg/m^2 in 1,000 ml normal saline every 24 hours; and 3) Carboplatin in a total dosage of $1,800 \text{ mg/m}^2$ (200 mg/m^2 /day for four days) is given via continuous intravenous infusion at 200 mg/m^2 in 1,000 ml of 5% dextrose in water every 24 hours.

10 The patient also receives 500 mg of Mesna in 50 ml normal saline IV over 15 minutes every four hours for six days (144 hours), beginning with the first dose of cyclophosphamide.

15 At least 72 hours after the completion of the chemotherapy, the MPCs are harvested from the Dexter culture(s). MPCs are collected and purified as described in Example 1. Cells are resuspended at approximately 10^6 cells/ml, and injected slowly intravenously over 15 minutes to provide a total dosage of from 10 to about 5×10^6 cells.

20 MPCs can also be frozen and thawed to use when needed. For example, unfractionated cells from a Dexter culture are frozen. Upon thawing the cells are plated for about two days. The MPCs are then purified as in Example 1 above. The MPCs are then replated with serum or in a serum free media and can remain stable for up to six days.

25 The day after the patient receives the MPCs, the frozen autologous peripheral blood progenitor cells are removed from the liquid nitrogen refrigerator, transported to the patient in liquid nitrogen, submersed in a 37°C to 40°C sterile water bath, and infused rapidly intravenously without additional filtering or washing steps. GM-CSF in an amount of $250 \text{ } \mu\text{g/m}^2$ then is given as a daily subcutaneous injection, beginning three hours after completion of the autologous blood progenitor cell infusion. The GM-CSF is

30

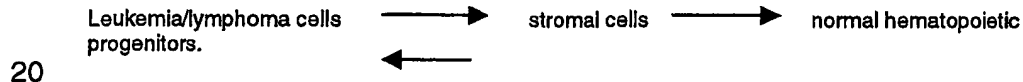
given daily until the peripheral blood neutrophil count exceeds 1,000/ μ L for three consecutive days.

EXAMPLE 5

5

Genomic Changes Observed in Leukemia Associated MPCs

The following is one example of how normal hematopoiesis might be compromised in leukemic conditions. The cellular interactions that underlie leukemic bone marrow involve stromal cells, leukemia/ lymphoma cells, and normal hematopoietic progenitors (including those of myelopoiesis, erythropoiesis and megakaryocytopoiesis). In addition to displacing normal hematopoietic cells, the leukemia/ lymphoma cells can potentially cause direct damage to the hematopoietic supportive stromal cells by inducing unwanted gene expression profiles and adversely affecting the normal hematopoiesis. The cellular interactions can be schematized as:



The point of this scheme is that regardless of whether stromal cell lesions are primary or secondary to leukemogenesis, the normal hematopoietic function is invariably compromised in leukemic conditions, though different leukemias affect myelopoiesis, erythropoiesis and megakaryocytopoiesis differentially. Contrary to the prevailing notion (see Marini, F *et al.*, Mesenchymal Stem Cells from Patients with Chronic Myelogenous Leukemia Patients can be Transduced with Common Gene Transfer Vectors at High Efficiency, and are Genotypically Normal, 42nd Annual Meeting of the American Society of Hematology, Dec. 1-5, 2000 Poster # 665), there has been observed extensive and striking gene expression changes in leukemia-associated bone marrow MPCs by using high-resolution genomics. Therefore, one embodiment of the present invention is to use transplantation of tissue-culture expanded, purified normal MPCs to improve granulopoiesis, erythropoiesis and thrombopoiesis, in for

example MDS (most of MDS patients do not die from blast transformation but from complications related to cytopenias, i.e., hematopoietic failure).

The studies targeted acute myeloid leukemia (AML), chronic
5 myeloid leukemia (CML) and multiple myeloma (MM), one case of each. The
AML patient was a 57 year-old woman with 52% myeloblasts in the bone
marrow with immunophenotype confirmed by flow cytometry and a karyotypic
abnormality of 45, XX, -7(6)/46, XX [6]. Together with morphology, the
diagnosis was AML arising in a background of myelodysplasia. The CML
10 patient was a 35 year-old man with 2% blasts in the bone marrow and
karyotypic abnormalities of Philadelphia chromosome and BCR/ABL gene
rearrangement. Together with morphology, the diagnosis was CML in chronic
phase. The MM patient was a 61 year-old woman with a IgA myeloma. The
serum IgA level was 2.4 g/dl and the marrow plasma cell count was 37%.
15 None of the patients was treated prior to obtaining marrow samples used in
this study, to avoid any therapy-induced changes complicating the disease-
associated changes.

The leukemic samples consisted of marrow aspirates that
20 remained unused after clinical diagnostic studies were performed. A bone
marrow sample obtained from an adult healthy male who had consented to
donate bone marrow for standard marrow transplantation was simultaneously
studied. The normal bone marrow sample consisted of residual cells
recovered from the filters after complete filtration of the marrow sample.
25 Setting up of Dexter-type stromal cell cultures and isolation of MPC were as
described in Example 1. The normal stromal cells were studied without and
after stimulation with $\text{TNF}\alpha$ because $\text{TNF}\alpha$ (and IL-4) are regarded as
negative regulators of hematopoiesis. Notably these cytokines, especially
 $\text{TNF}\alpha$, are elevated in marrow plasma of patients with myelodysplastic
30 syndromes (MDS), the clinical hallmarks of which are anemia, leukopenia and
thrombocytopenia (i.e., pancytopenia). $\text{TNF}\alpha$ and IL-4 are considered
possible mediators of hematopoietic dysregulation typical of MDS.

A stepwise genomics strategy encompassed:

Preparation of total RNA from MPC samples → generation of cDNA → preparation of ds DNA → *in vitro* transcription into cRNA →
5 fragmentation of cRNA → hybridization of target RNA to a microarray of known genes (Affymetrix genechip containing DNA from ~12,000 known human genes, e.g., U95A oligonucleotide microarray) → analysis of differentially expressed genes using an appropriate software (GeneSpring) to discern the patterns of gene expression or genomic signatures by a given
10 MPC type.

Cluster analysis showing gene expression patterns in bone marrow MPC isolated from a normal individual and patients with different leukemic conditions.

15

Genes with correlated expression across bone marrow MPC types: GeneSpring was used for cluster analysis. Prior to application of an agglomerative hierarchical clustering algorithm, microarray signals were normalized across experiments (i.e., from one MPC type to another) making
20 the median value of all of measurements unity, so different experiments are comparable to one another. The signals were also normalized across genes in order to remove the differing intensity signals from multiple experimental readings. Genes that are inactive across all samples were eliminated from analysis. Notably, 7398 genes out of 12,626 genes (present on the Affymetrix
25 genechip used) passed the filter of a normalized signal intensity of at least 0.1 across at least one of the five experiments performed. Cluster analysis was performed with standard correlation (same as Pearson correlation around zero) as the distance metric, a separation ratio of 0.5 and a minimum distance of 0.001 as provided by the software application. A closer relationship
30 between CML- and MM-associated MPCs was observed, which in turn are related to AML-associated MPC, thus transforming global patterns of gene expression into potentially meaningful relationships.

Two-dimensional cluster analysis of tissue vs. gene expression vectors: A gene tree was constructed. Genes cluster near each other on the "gene tree" if they exhibit a strong correlation across MPC experiments and MPC tree branches move near each other if they exhibit a similar gene expression profile. The data indicated that the two-way clustering readjusted the location of a number of genes resulting in accentuation of genomic signatures of each cell type. Investigators can usefully catalog genes composing any unique or signature cluster of interest by creating a gene list and disclosing their identities.

Self-organizing map (SOM) clusters (6x5) show differential gene expression in bone marrow MPC isolated from different hematopoietic conditions.

Generation of SOM clusters involved prior normalization and filtering of the data. SOM algorithm was applied as provided by GeneSpring. Visualization of SOM clusters in combination with hierarchical clustering (i.e., MPC tree) revealed correlated meaningful patterns of gene expression. Predicated on the basis of SOM operating principle, the related SOM clusters tend to be located physically close to each other. For example, the juxtaposition of the SOM clusters with the common denominator containing genes that are up-regulated in AML/MDS-associated MPC. Whole or part of any SOM cluster can be selected to make a gene list providing the identities of the genes involved.

Genes highly expressed in normal MPC but absent or minimally expressed in leukemia-associated MPC

Lists of genes that are down-regulated in leukemia-associated MPC (AML/MDS, CML and MM) were created in comparison to normal MPC. A Venn diagram was made using these three gene lists. GeneSpring allows creation of sublists of genes corresponding to union, intersection and exclusion. Transcriptional profiles of any of these sublists of genes can be visualized across MPC samples of interest. The following is one such sublist

of genes containing genes that are highly expressed in normal MPC and down-regulated in leukemia-associated MPCs revealing the identity of the subset of genes of interest: putative, wg66h09.x1 Soares Homo sapiens cDNA clone, Homo sapiens mRNA for CMP-N-acetylneuraminic acid hydroxylase, Homo sapiens cDNA clone DKFZp586G0421 (symptom: hute1), Human mRNA for histone H1x, Putative monocarboxylate transporter Homo sapiens gene for LD78 alpha precursor, Interacts with SH3 proteins; similar to c-cbl proto-oncogene product, wg82b12.x1 Soares Homo sapiens cDNA clone, Human atrial natriuretic peptide clearance receptor (ANP C-receptor) mRNA, Human 71 kDa 2'5' oligoadenylate synthetase (p69 2-5A synthetase) mRNA, Homo sapiens hMmTRA1b mRNA, Human G0S2 protein gene, Preproenkephalin, Human guanylate binding protein isoform I (GBP-2) mRNA, Human gene for hepatitis C associated microtubular aggregate protein p44, 17-kDa protein, Human insulin-like growth factor binding protein 5 (IGFBP5) mRNA, GS3686, Human monoamine oxidase B (MAOB) mRNA, Insulin-like growth factor II precursor, Human insulin-like growth factor binding protein 5 (IGFBP5) mRNA, Similar to ribosomal protein L21, X-linked mental retardation candidate gene, and Homo sapiens mRNA; cDNA DKFZp434A202.

20

Genes not expressed in normal MPC but highly expressed in leukemia-associated MPC

Lists of genes that are up-regulated (instead of down-regulated) in leukemia-associated MPCs (AML/MDS, CML and MM) were created in comparison to normal MPC and a Venn diagram was made. The following is one such sublist of genes containing genes that are inactive in normal MPC but up-regulated in leukemia-associated MPCs revealing the identity of the subset of genes of interest: Beta- tropomyosin, Homo sapiens clone 24659 mRNA sequence, Human mRNA for DNA helicase Q1, OSF; contains SH3 domain and ankyrin repeat, ym22b12.r1 Soares infant brain 1NIB Homo sapiens cDNA clone, Human mRNA for pre-mRNA splicing factor SRp20, Human mRNA for golgi alpha-mannosidase II, OSF-2os, Homo sapiens gene for Proline synthetase, hk02952 cDNA clone for KIAA0683, wi24g10.x1 Homo

30

sapiens cDNA clone, Lysosomal enzyme; deficient in Sanfilippo B syndrome, CTP synthetase (AA 1-591), WD repeat protein; similar to petunia AN11, Human mRNA for 5'-terminal region of UMK, complete cds, Homo sapiens chemokine exodus-1 mRNA, complete cds, Human GPI-H mRNA, complete cds, Homo sapiens mRNA encoding RAMP1, Transforming growth factor-beta-2 precursor, and Homo sapiens mRNA for KIAA0763 protein.

Visualizing expression of phenotypically & functionally relevant genes across samples of normal & disease-associated BM MPC.

10

Although GeneSpring is a highly flexible and user-friendly software application, it lacks the facility to create functionally relevant gene lists containing user-defined key words. This limitation was overcome by devising the following method via Microsoft Excel. A stepwise protocol to create such a gene list using Excel includes: Open the annotated microarray genome file (e.g., Affymetrix U95A) in Excel → select the column with gene names → select Data from pull-down menu → Filter → AutoFilter → Custom → enter key words (e.g., cell adhesion or cell cycle) → OK → generates a new Excel worksheet with the list of genes containing the key words. Copy and paste the list of genes containing the key words into GeneSpring and save the gene list with a meaningful name. Twenty-two (22) such functionally relevant gene lists (Table 2) were created.

The resulting approach is a simple and powerful way to peer into the expression profiles of focused sets of functionally relevant genes across samples of interest. For example, the human vascular cell adhesion molecule-1 (VCAM-1) gene is completely down-regulated in AML/MDS and the human insulin-like growth factor binding protein (hIGFBP1) gene is up-regulated in AML compared to all other samples. Similarly, *Homo sapiens* gene for LD78 alpha precursor is down-regulated in all of leukemia-associated MPCs. Finally, the lineage markers CD45 and CD68 are essentially absent from the leukemia-associated MPCs attesting to the high degree of purity achieved by the sample preparation technique of the present invention.

Results

The genomic changes observed in leukemia-associated MPCs are striking. As shown in Table 2, the changes (up-regulation and/ or down-regulation) involved hundreds of genes. These changes were most dramatic in MPC associated with AML arising in a background of MDS and involved multiple classes of genes (Tables 1-2). Expectedly, the TNFa-induced changes were extensive. Given the high level of purity of MPC preparations, the enormous genomic changes observed are reflective of the underlying pathologic lesions in the MPCs themselves (and not due to the contaminating leukemic cells and/ or macrophages). These studies strongly support the hypothesis that stromal cells in a leukemic patient are functionally defective and therefore purified MPCs are of value in restoring the loss of hematopoietic function in leukemic patients.

Table 2. Magnitude of global gene expression changes in leukemia-associated and TNFa-stimulated MPCs in comparison to normal MPC

	AML/MDS MPC	CML MPC	MM MPC	TNFa MPC
# of genes up-regulated	234	112	108	279
# of genes down-regulated	379	208	251	164

Table 3. Functional classes of genes analyzed across normal and leukemia-associated MPCs

Annexins (14) Caspases & apoptosis-related transcripts (33) Cadherins (50) Calmodulins/ calmodulin-dependent kinases (25) Cell adhesion molecules (20) Cathepsins (19) Collagens (71)	Cell division cycle-related transcripts (36) Cytokines (19) Epidermal growth factors and related transcripts (22) Fibroblast growth factors (21) Fibronectins (6) Galectins (6) Growth factors (136)	IGF system (24) Interleukins/ receptors (76) Integrins/ disintegrins (70) Lineage-related markers (19) Laminins (13) Platelet-derived growth factors & receptors (12) TNF alpha-related transcripts (29) TGF beta-related transcripts (25)
---	--	---

5 The gene lists in Table 3 were created as described above and analyzed using GeneSpring. The numerical value in parenthesis refers to the number of transcripts in the corresponding class of genes analyzed.

10 Throughout this application, various publications, are referenced by author and year. Full citations for the publications are listed below. The disclosures of these publications in their entireties are hereby incorporated by reference into this application in order to more fully describe the state of the art to which this invention pertains.

15 The invention has been described in an illustrative manner, and it is to be understood that the terminology which has been used is intended to be in the nature of words of description rather than of limitation.

20 The preceding descriptions of the invention are merely illustrative and should not be considered as limiting the scope of the invention in any way. From the foregoing description, one of ordinary skill in the art can easily ascertain the essential characteristics of the instant invention, and without departing from the spirit and scope thereof, can make various changes and/or modifications of the inventions to adapt it to various usages and conditions. As such, these changes and/or modifications are properly,

25

equitably, and intended to be, within the full range of equivalence of the following claims.

CLAIMS

What is claimed is:

- 5 1. Isolated and purified mesenchymal progenitor cells that are pluri-differentiated.
2. A therapeutic composition comprising an effective amount of isolated and purified pluri-differentiated mesenchymal progenitor cells and a
10 pharmaceutically acceptable carrier.
3. The composition according to claim 2, wherein said pluri-differentiated mesenchymal progenitor cells are present in an amount effective to enhance bone marrow engraftment in a mammal in need thereof.
15
4. The composition according to claim 2, wherein said pluri-differentiated mesenchymal progenitor cells are present in an amount effective to enhance hematopoietic progenitor cell engraftment in a mammal in need thereof.
20
5. The composition according to claim 2, wherein said pluri-differentiated mesenchymal progenitor cells are present in an amount effective to treat GvHD in a mammal about to undergo bone marrow or organ transplantation or suffering from GvHD caused by bone marrow or organ
25 transplantation.
6. A method for purifying pluri-differentiated mesenchymal progenitor cells comprising the steps of:
30 a) providing a cell culture preparation by the Dexter method;
 b) treating the cells to obtain a cell suspension;
 c) removing macrophages;
 d) fractionating the cells; and

e) collecting the fraction of pluri-differentiated mesenchymal progenitor cells.

7. A method for enhancing bone marrow engraftment in a mammal in need thereof which comprises the step of administering to the mammal (i) isolated pluri-differentiated mesenchymal progenitor cells and (ii) a bone marrow graft, wherein the isolated pluri-differentiated mesenchymal progenitor cells are administered in an amount effective to promote engraftment of the bone marrow in the mammal.

10

8. The method according to claim 6, wherein said administering step includes intravenously injecting or directly injecting the isolated pluri-differentiated mesenchymal progenitor cells to the site of intended activity.

15

9. The method according to claim 6, wherein said administering step includes administering to the mammal the isolated pluri-differentiated mesenchymal progenitor cells prior to administering the bone marrow graft.

10. The method according to claim 6, further including introducing wherein the isolated pluri-differentiated mesenchymal progenitor cells into the mammal in a cell suspension also containing bone marrow graft cells.

11. A method for enhancing engraftment of hematopoietic progenitor cells in a mammal in need thereof by administering to the mammal (i) isolated pluri-differentiated mesenchymal progenitor cells and (ii) hematopoietic progenitor cells, wherein the isolated pluri-differentiated mesenchymal progenitor cells are administered in an amount effective to promote engraftment of the hematopoietic progenitor cells in the mammal.

30

12. The method according to claim 10, wherein said administering step includes intravenously injecting or directly injecting the isolated pluri-differentiated mesenchymal progenitor cells to the site of intended activity.

13. The method according to claim **10** wherein said administering step includes administering the isolated pluri-differentiated mesenchymal progenitor cells to the mammal prior to administration of the hematopoietic progenitor cells.

5

14. The method according to claim **10**, further including introducing the isolated pluri-differentiated mesenchymal progenitor cells into said mammal in a cell suspension also containing hematopoietic progenitor cells.

10

15. A method for treating graft-versus-host disease (GvHD) in a mammal about to undergo bone marrow or organ transplantation or suffering from GvHD caused by bone marrow or organ transplantation, by administering to the mammal an effective amount of isolated pluri-differentiated mesenchymal progenitor cells.

15

16. The method according to claim **14**, wherein the mammal is one about to undergo allogeneic bone marrow transplantation or is suffering from GvHD caused by allogeneic bone marrow transplantation.

20

17. The method according to claim **14**, further including administering immunosuppressive drugs to the mammal.

25

18. The method according to claim **14**, wherein said administering step includes intravenously injecting the isolated pluri-differentiated mesenchymal progenitor cells.

30

19. The method according to claim **14**, wherein said administering step includes administering the isolated pluri-differentiated mesenchymal progenitor cells prior to bone marrow or organ transplantation.

20. A method for diagnosing a disease state comprising the steps of:

- 5 a) establishing gene expression patterns of normal state
bone marrow derived isolated pluri-differentiated mesenchymal progenitor
cells;
- b) establishing gene expression patterns of various
5 leukemic state bone marrow derived isolated pluri-differentiated mesenchymal
progenitor cells;
- c) identifying gene sets that are unique to a given state; and
- d) comparing a profile of bone marrow derived isolated
mesenchymal progenitor cell of unknown state to said gene sets.

10

21. A method for identifying therapeutic targets for treatment of
hematopoietic function comprising the steps of:

- a) determining the median gene expression profile of bone
15 marrow isolated pluri-differentiated mesenchymal progenitor cells associated
with each disease state of interest;
- b) identifying gene groups that are up-regulated, down
regulated, and common to each disease state; and
- c) identifying gene sets that are unique to a given state.

20

Figure - 1

1/12

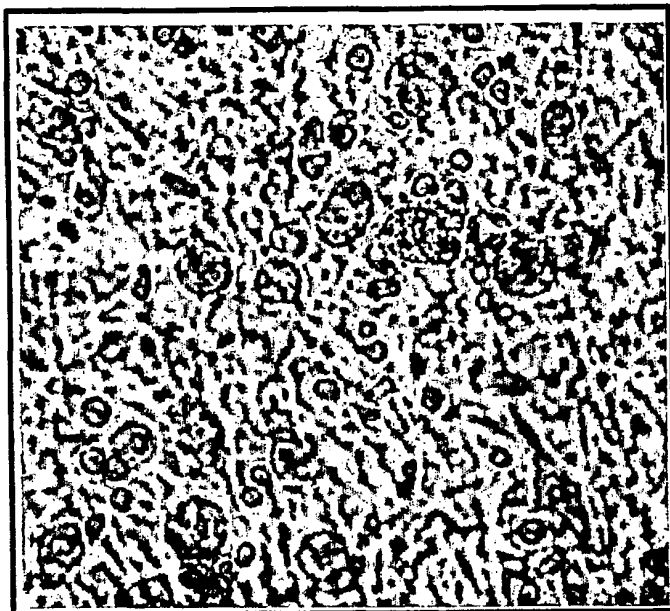


Figure - 2

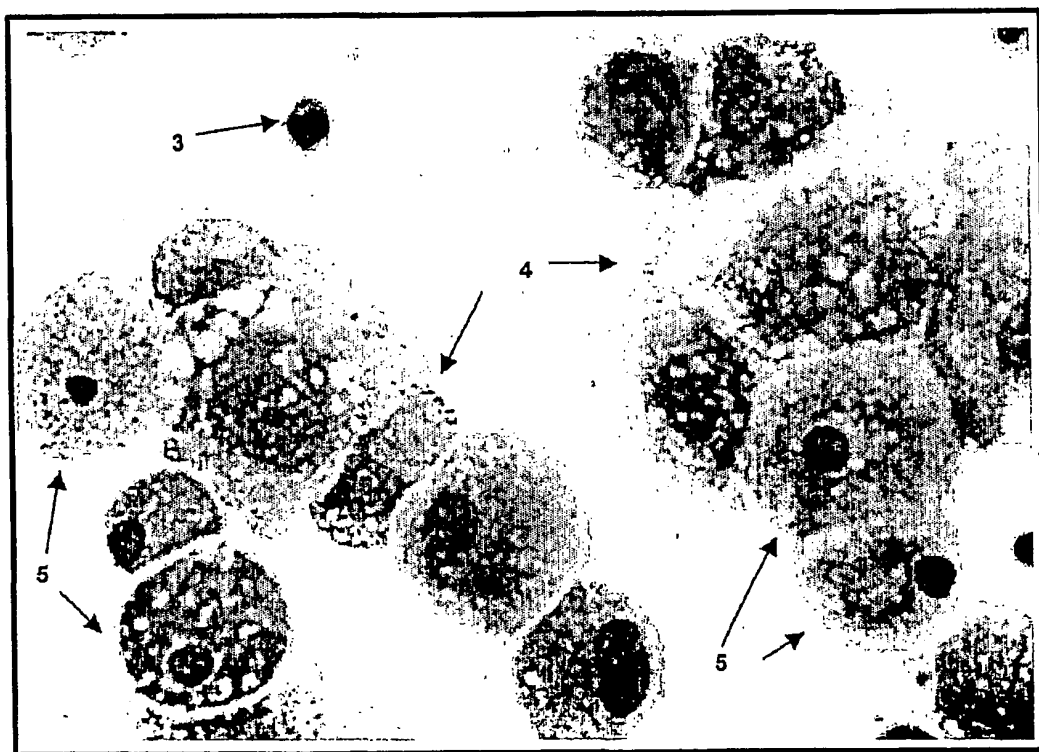
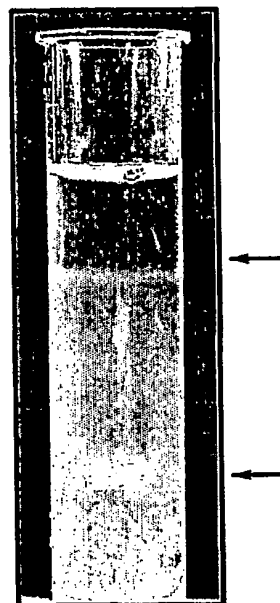
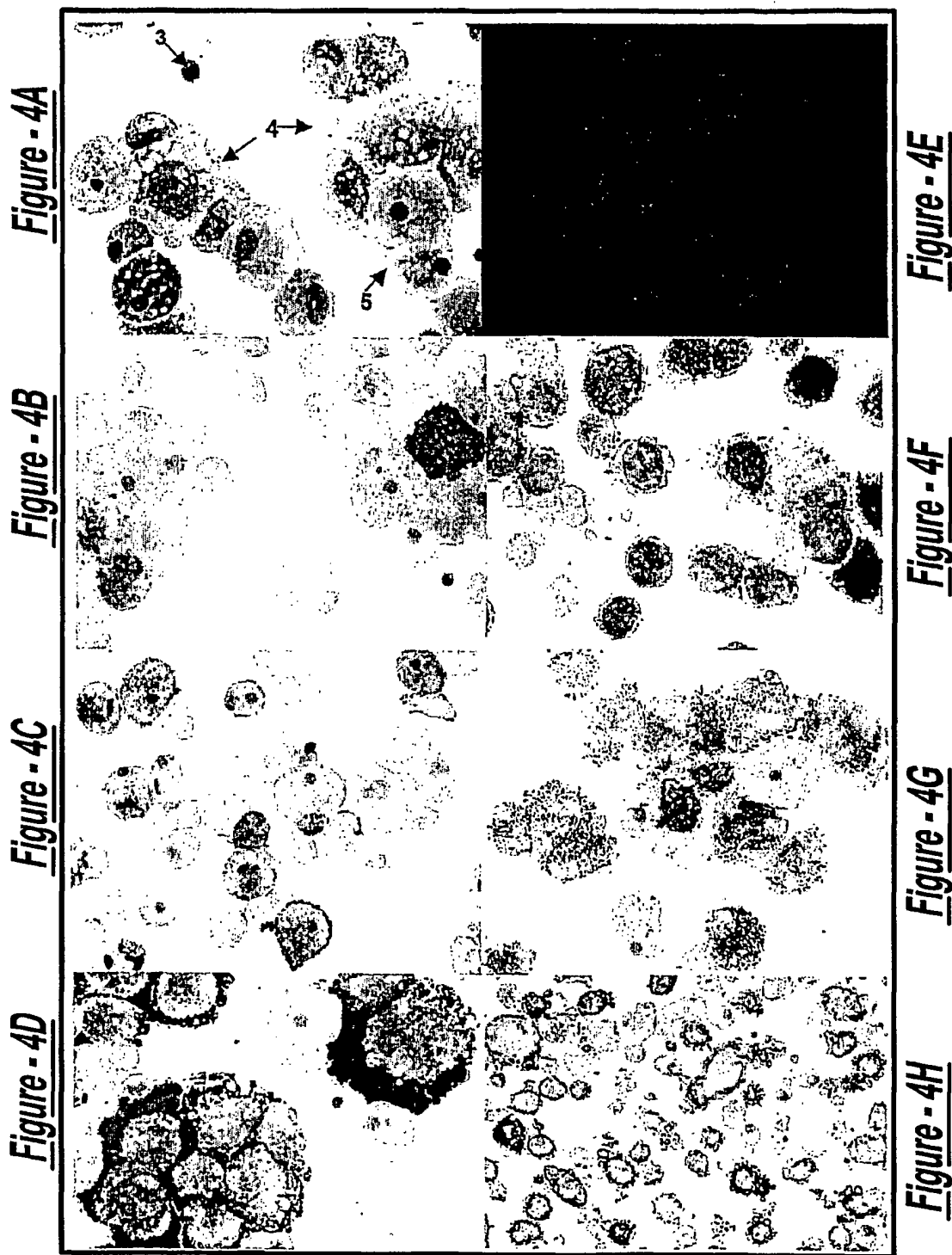


Figure - 3

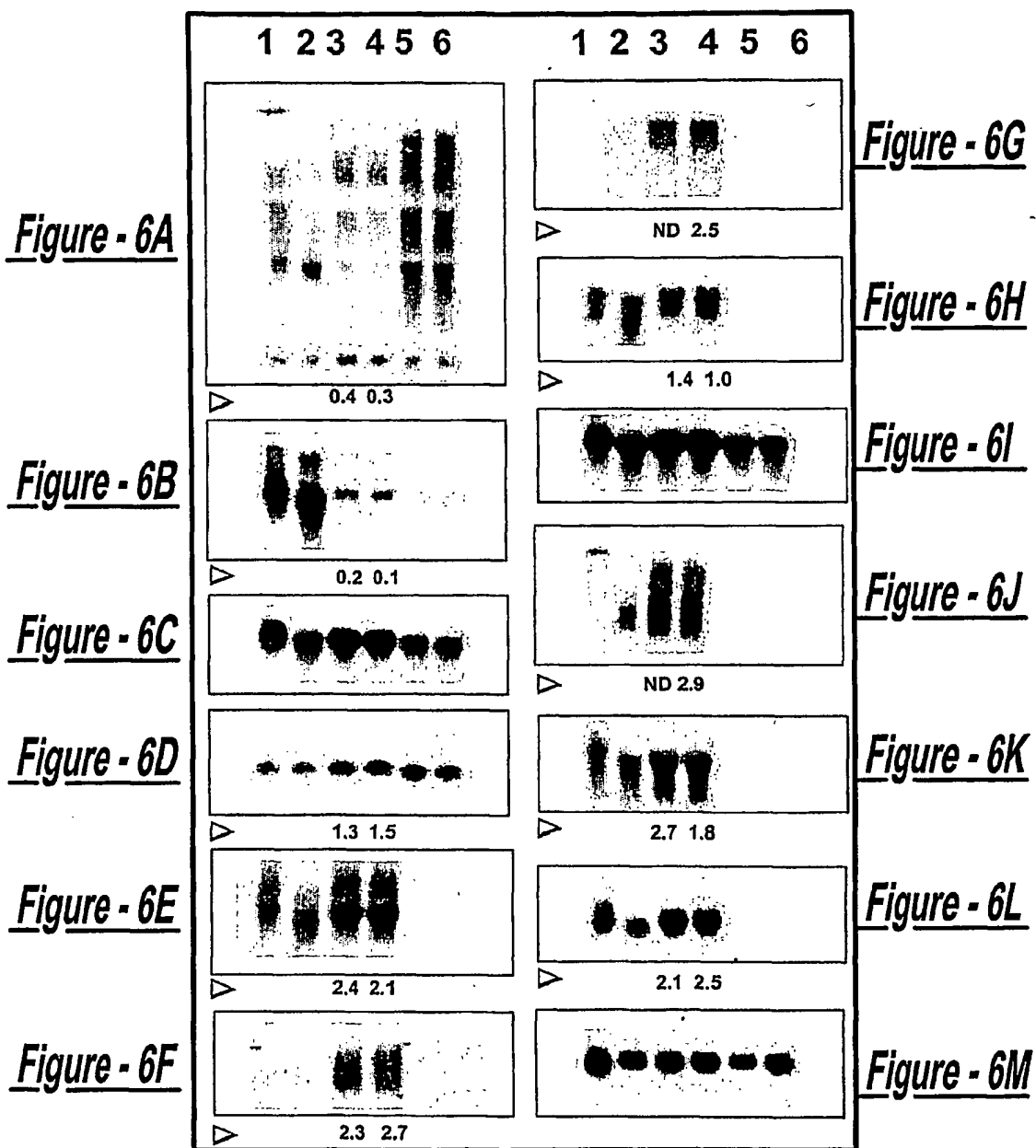


3/12



Figure - 5

4/12



5/12

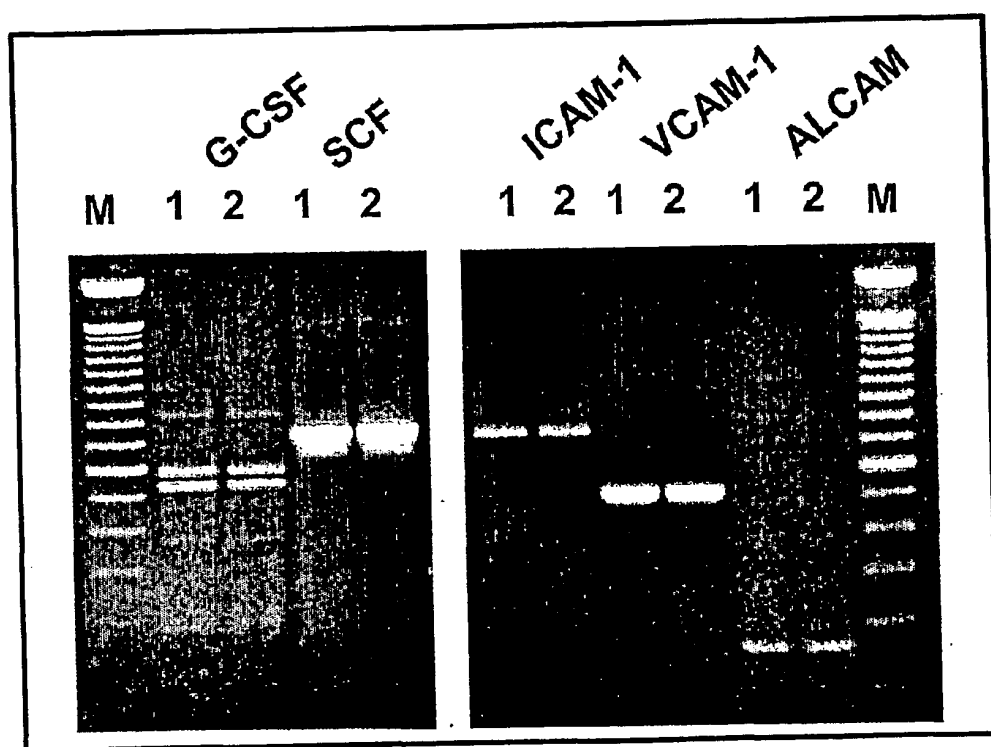


Figure - 7

6/12

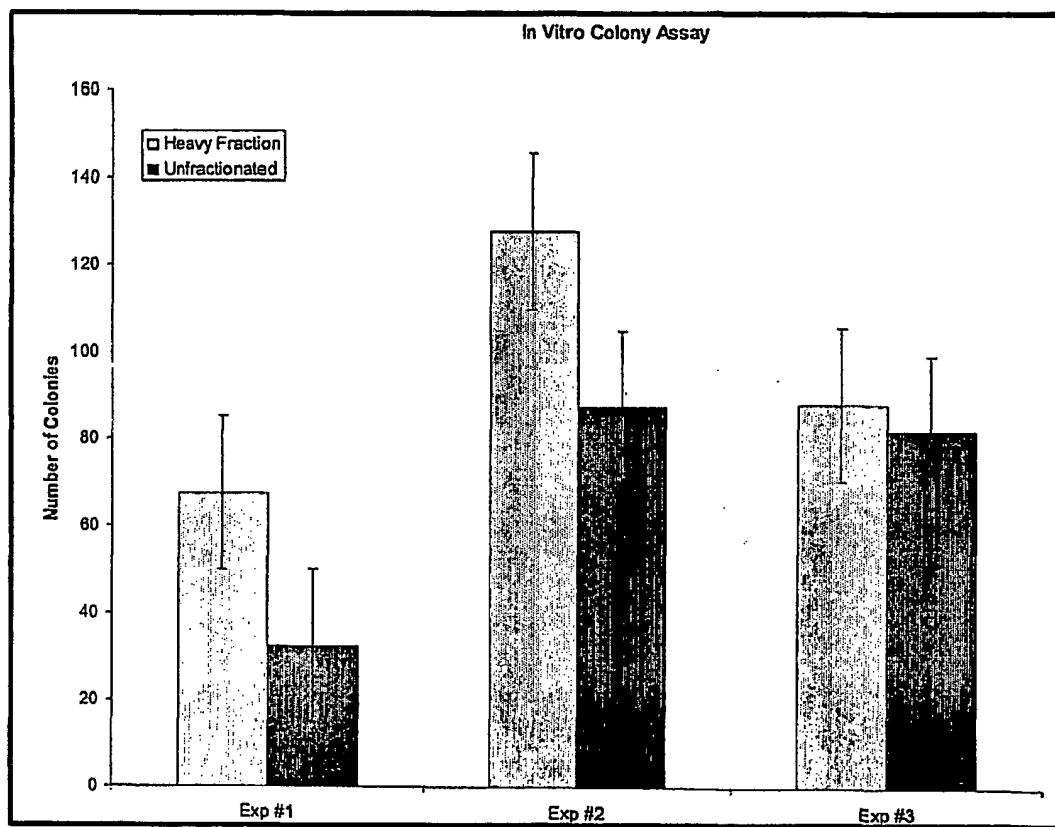
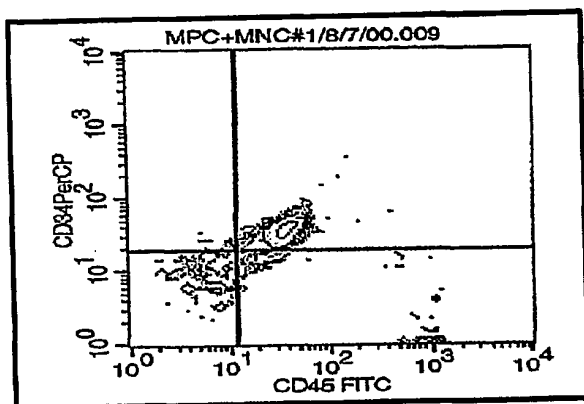
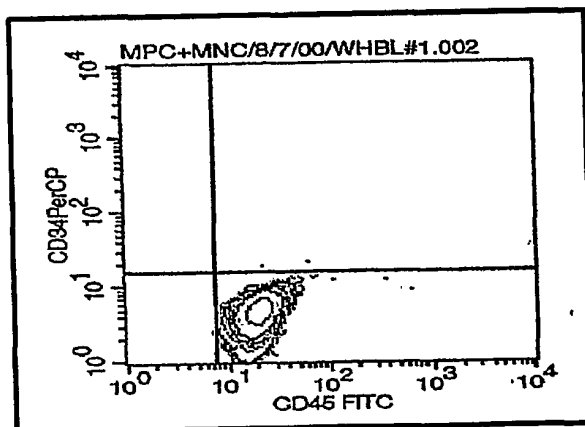


Figure - 8

7/12

Figure - 9AFigure - 9B

8/12

Figure - 10A

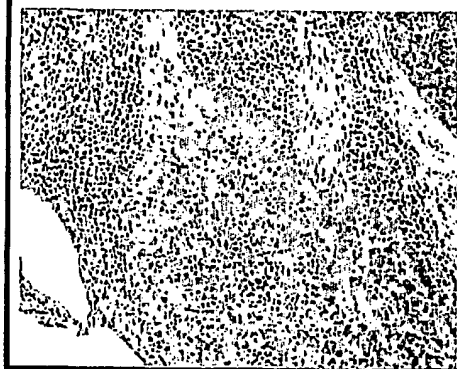


Figure - 10C

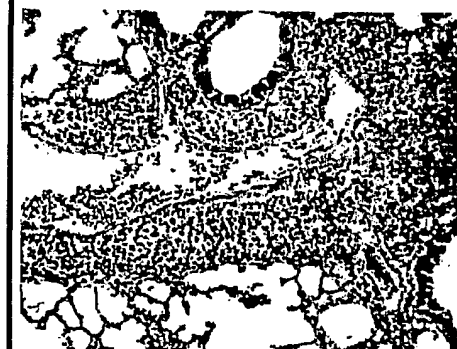


Figure - 10E



Figure - 10G

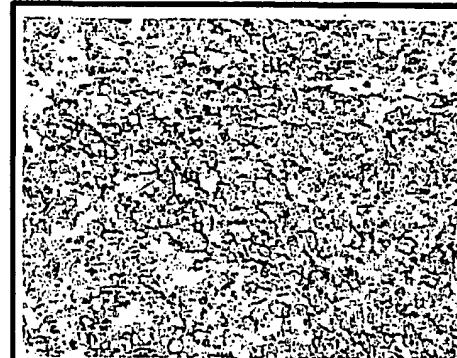


Figure - 10B

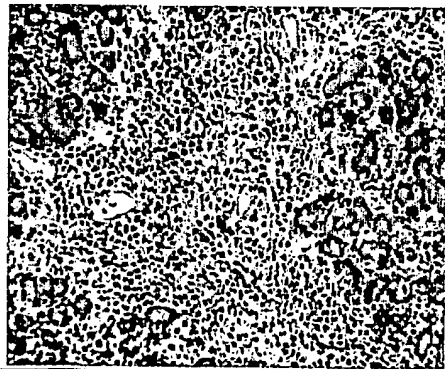


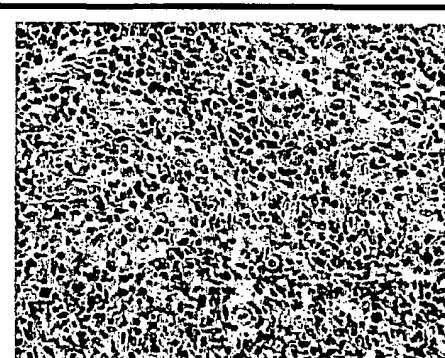
Figure - 10D



Figure - 10F



Figure - 10H



9/12

Figure - 11A

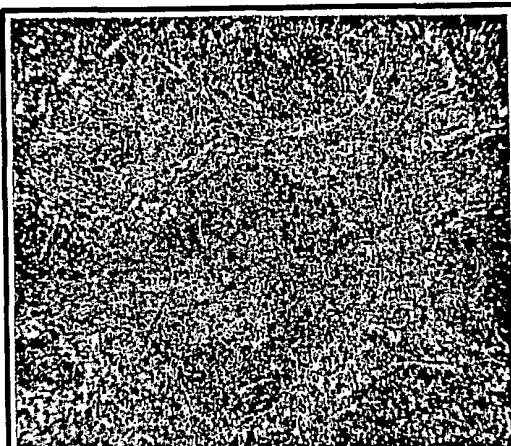


Figure - 11B

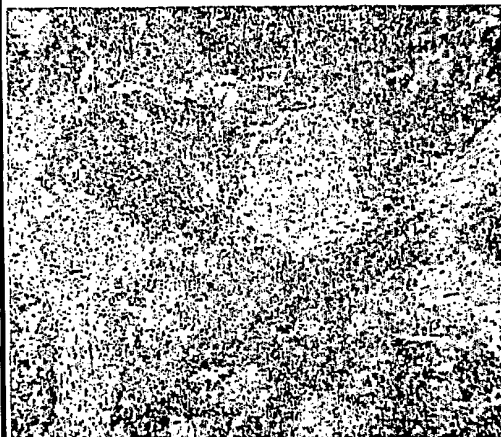
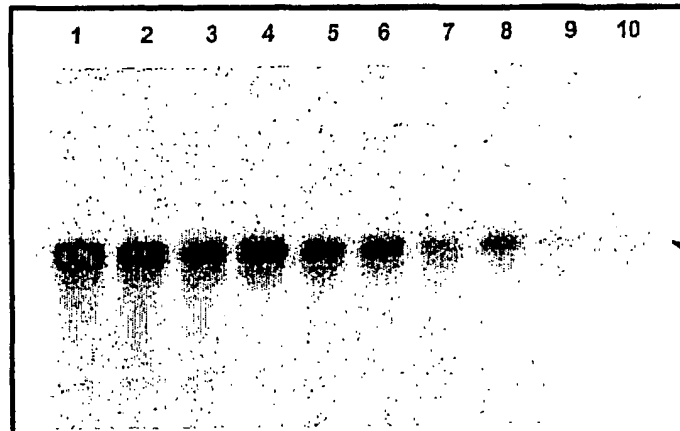
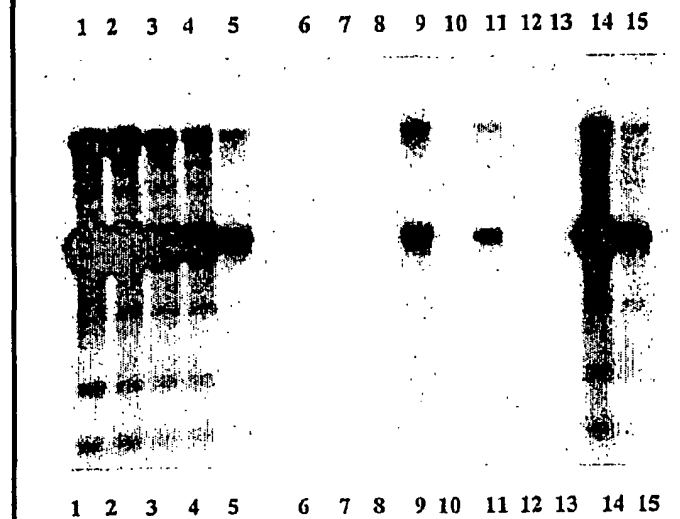
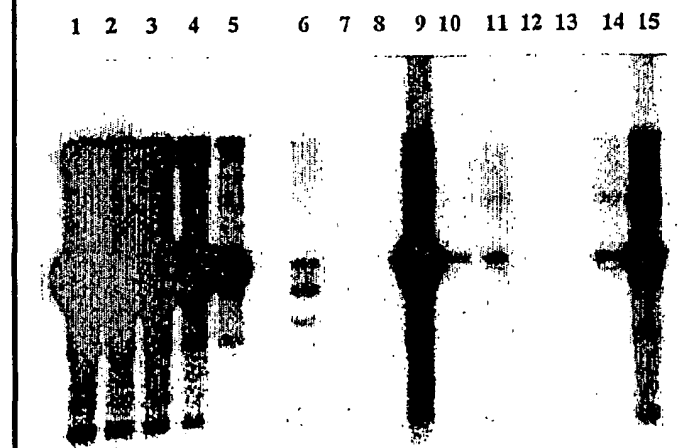


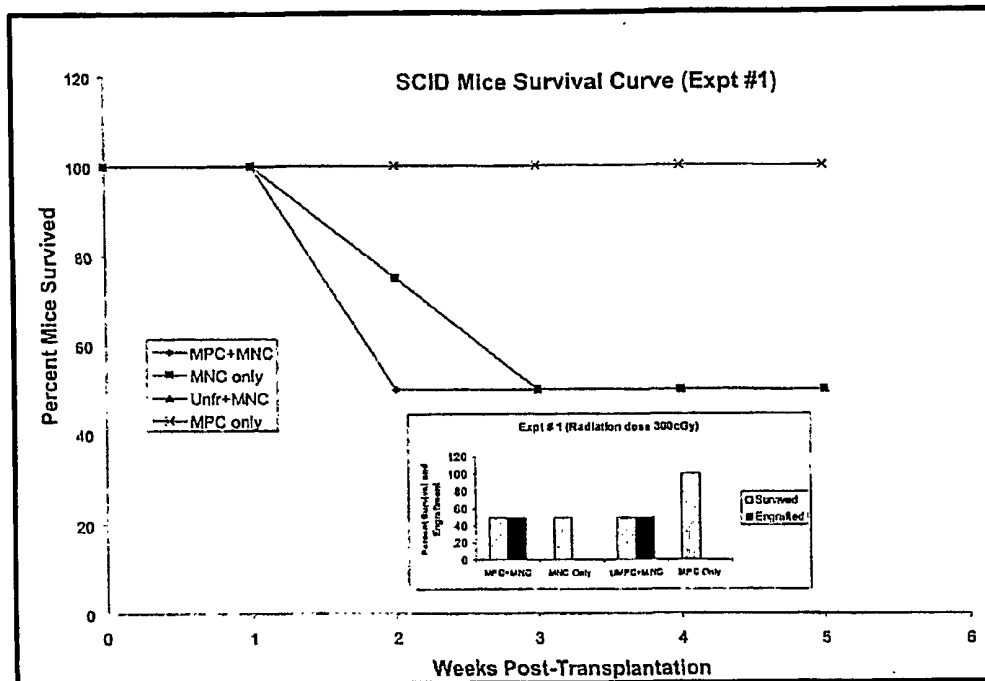
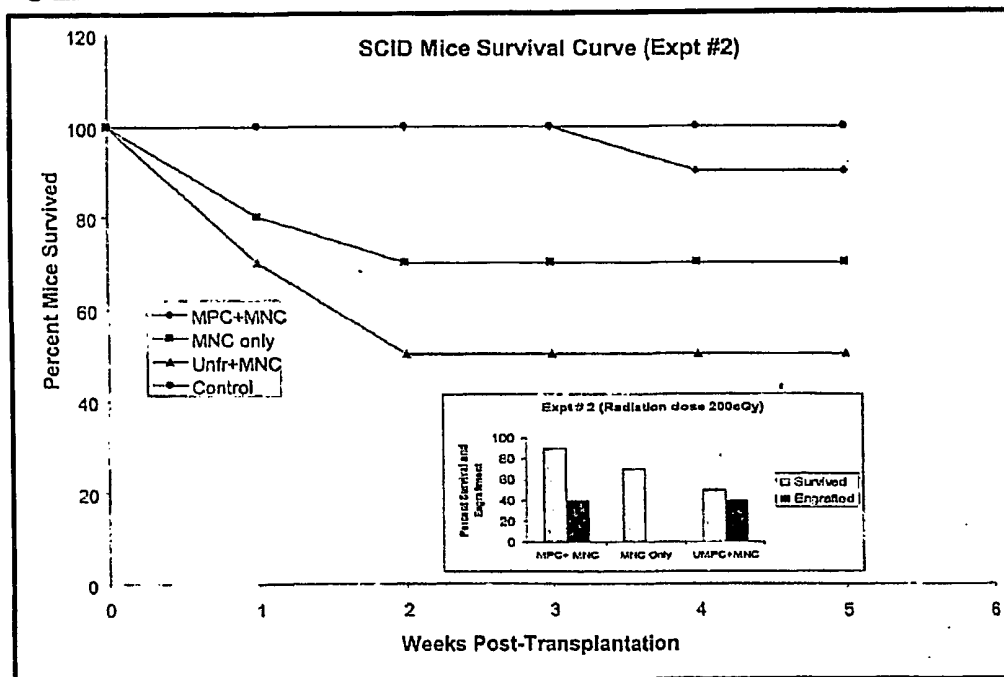
Figure - 11C



10/12

Figure - 12AFigure - 12BFigure - 12C

11/12

Figure - 13A**Figure - 13B**

12/12



Figure - 14A

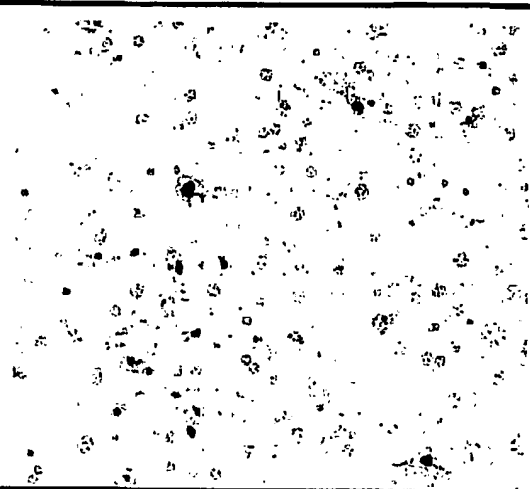


Figure - 14B

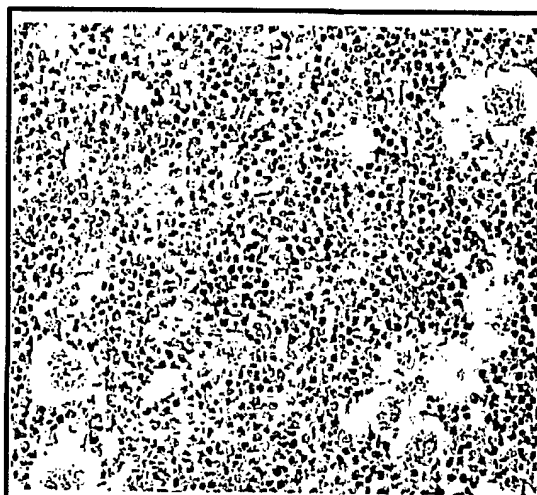


Figure - 14C



Figure - 14D

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
13 December 2001 (13.12.2001)

PCT

(10) International Publication Number
WO 01/94541 A3

(51) International Patent Classification⁷: **A01N 63/00.**
A61K 35/28, C12N 5/00, 5/02, 5/08

(21) International Application Number: PCT/US01/16408

(22) International Filing Date: 21 May 2001 (21.05.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/209,245 5 June 2000 (05.06.2000) US
60/277,700 21 March 2001 (21.03.2001) US

(71) Applicant (for all designated States except US): **UNIVERSITY OF SOUTH FLORIDA** [US/US]; 4202 East Fowler Avenue, FAO-126, Tampa, FL 33620 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **SESHI, Beerelli** [IN/US]; 9128 Highland Ridge Way, Tampa, FL 33647 (US).

(74) Agents: **RINALDO, Amy, E.** et al.; Kohn & Associates, 30500 Northwestern Highway, Suite 410, Farmington Hills, MI 48334 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

(88) Date of publication of the international search report:
20 June 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 01/94541 A3

(54) Title: **HUMAN MESENCHYMAL PROGENITOR CELL**

(57) Abstract: There is provided an isolated pluri-differentiated human mesenchymal progenitor cells (MPCs), a method for isolating and purifying human mesenchymal progenitor cells from Dexter-type cultures, and characterization of and uses, particularly therapeutic uses for such cells. Specifically, there is provided isolated MPCs which can be used for diagnostic purposes, to enhance the engraftment of hematopoietic progenitor cells, enhance bone marrow transplantation, or aid in the treatment or prevention of graft versus host disease.

INTERNATIONAL SEARCH REPORT

 International application No.
PCT/US01/16408

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A01N 63/00; A61K 35/28; C12N 5/00, 5/02, 5/08

US CL : 424/93.7, 577; 435/325, 372, 377

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/93.7, 577; 435/325, 372, 377

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST USPT, DWPI; STN MEDLINE, BIOSIS, EMBASE

search terms: mesenchymal progenitor cells, differentiation, haematopoietic cells, bone marrow, GvHD, leukemia

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 5,942,225 A [BRUDER et al] 24 August 1999, see Figure 1; col. 6, lines 44-69; col. 5, line 16.	1,2 ----- 3-19
X --- Y	US 6,010,696 A [CAPLAN et al] 4 January 2000, see abstract, col. 4, lines 40-55; col. 6, lines 20-48.	1-4,7-14 ----- 5,6,15-19
X --- Y	US 5,733,542 A [HAYNESWORTH et al] 31 March 1998, see abstract; col. 2, lines 30-67; col. 4, lines 20-50.	1-4,7-14 ----- 5,6,15-19
X --- Y	US 5,486,359 A [CAPLAN et al] 23 January 1996, see abstract; col. 17, lines 25-42.	1,2 ----- 6

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"A" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

06 NOVEMBER 2001

Date of mailing of the international search report

25 JAN 2002

 Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

VERA AFREMOVA

Telephone No. (703) 308-0196

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/16408

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ---- Y	BORDIGNON et al. Cell Therapy: Achievements and Perspectives. Haematologica. December 1999. Vol 84. No. 12, pages 1110-1149, especially pages 1136-1138 and Figure 7.	1,2 ----- 3-5,7-19
X ---- Y	GARTNER et al. Long-term Culture of Human Bone Marrow Cells. Proc. Natl. Acad. Sci. USA. August 1980. Vol 77. No. 8. pages 4756-4759, especially page 4757, col. 1 and page 4759, col. 2.	1,2,6 ----- 3-5,20,21
Y	DEXTER et al. Long-Term Marrow Culture: An Overview of Techniques and Experience. Long Term Bone Marrow Culture. Editors: Daniel G. Wright and Joel S. Greenberger. Alan R. Liss, Inc., New York. 1984. pages 57-96, especially page 74, 83 and 86.	5,6,15-19
X,P	MARINI et al. Mesenchymal Stem Cells (MSC) from Patient with Chronic Myelogenous Leukemia (CML) Patients Can Be Transduced with Common Gene Transfer Vectors at High Efficiency. 16 November 2000. Blood. Vol 96, No. 11, Part 1, pages 740a-741a.	20,21
Y	KEATING et al. Effect of Different Promoters on Expression of Genes Introduced into Hematopoietic and Marrow Stromal Cells by Electroporation. Experimental Hematology. 1990. Vol 18, pages 99-102.	20,21

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/16408

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐

The additional search fees were accompanied by the applicant's protest.

☒

No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/16408

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claim(s) 1-5, drawn to a product or to a composition with isolated and purified pluri-differentiated mesenchymal progenitor cells.

Group II, claim(s) 6, drawn to a method for preparing or purifying pluri-differentiated mesenchymal progenitor cells.

Group III, claim(s) 7-10, drawn to a method of administration for enhancing bone marrow engraftment in a mammal.

Group IV, claim(s) 11-14, drawn to a method of administration for engraftment of hematopoietic progenitor cells in a mammal.

Group V, claim(s) 15-19, drawn to a method of administration for treating graft-versus-host disease in a mammal.

Group VI, claim(s) 20, drawn to a method for diagnosing bone marrow disease state.

Group VII, claim(s) 21, drawn to a method for identifying therapeutic targets for treating hematopoietic function.

The inventions listed as Groups I-VII do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The presently claimed product/composition with isolated and purified pluri-differentiated mesenchymal progenitor cells is known in the prior art. For example: see US 5,942,225 which discloses isolated and purified mesenchymal progenitor cells which are expanded and induced to various lineages or which are pluri-differentiated. Thus, the presently claimed product/composition does not avoid the prior art.

Moreover, the claims are drawn to more than one of permissible combination of categories such as more than one of methods of using the product with pluri-differentiated mesenchymal progenitor cells, for example: various administration methods intended for treating various patients/conditions and various diagnosing methods intended for identification of disease state and/or therapeutic agents wherein the methods comprise distinct active steps, sites of actions, materials involved and state/conditions identified.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☒ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)